

**State of California
Office of Administrative Law**

In re:
Physical Therapy Board of California

Regulatory Action:

Title 16, California Code of Regulations

Adopt sections:

Amend sections: 1399.15

Repeal sections:

**NOTICE OF APPROVAL OF REGULATORY
ACTION**

Government Code Section 11349.3

OAL Matter Number: 2021-1216-02

OAL Matter Type: Regular (S)

The Physical Therapy Board (Board) of California is amending their incorporated by reference document, Guidelines for Issuing Citations, and Imposing Discipline (Guidelines). The Board is making several changes. Some of the changes include those being made to reflect regulatory changes already adopted. Additionally, a requirement is being added to the Guidelines to inform probationers that their license will not be renewed if they do not pay probation monitoring costs. An option to reduce these cost recovery fees was removed and instead the Board is offering the possibility of a payment plan requiring payment 180 days prior to completing probation. Substance abuse rehabilitation program fees are being removed from the Guidelines. The Board is also adding an additional specific condition of probation, entitled "Notification of Probation Status to Assistive Personnel" to the Guidelines and making several non-substantive changes to the document.

OAL approves this regulatory action pursuant to section 11349.3 of the Government Code. This regulatory action becomes effective on 4/1/2022.

Date: January 28, 2022



Peggy J. Gibson
Senior Attorney

For: Kenneth J. Pogue
Director

Original: Jason Kaiser, Interim Executive
Officer

Copy: Elsa Ybarra

REGULAR

For use by Secretary of State only

STD. 400 (REV. 10/2019)

OAL FILE NUMBERS	NOTICE FILE NUMBER Z- 2021-0702-03	REGULATORY ACTION NUMBER 2021-1216-025	EMERGENCY NUMBER
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For use by Office of Administrative Law (OAL) only

OFFICE OF ADMIN. LAW
2021 DEC 16 PM4:19**ENDORSED - FILED**
in the office of the Secretary of State
of the State of California

JAN 28 2022

1:43 P.M.

NOTICE

REGULATIONS

AGENCY WITH RULEMAKING AUTHORITY

Physical Therapy Board of California

AGENCY FILE NUMBER (If any)

A. PUBLICATION OF NOTICE (Complete for publication in Notice Register)

1. SUBJECT OF NOTICE Disciplinary Guidelines		TITLE(S) 16	FIRST SECTION AFFECTED 1399.15	2. REQUESTED PUBLICATION DATE July 16, 2021
3. NOTICE TYPE <input checked="" type="checkbox"/> Notice re Proposed Regulatory Action <input type="checkbox"/> Other		4. AGENCY CONTACT PERSON Rebecca Marco		TELEPHONE NUMBER (916) 318-0564
				FAX NUMBER (Optional) (916) 263-2560
OAL USE ONLY	ACTION ON PROPOSED NOTICE <input type="checkbox"/> Approved as Submitted <input type="checkbox"/> Approved as Modified <input type="checkbox"/> Disapproved/Withdrawn		NOTICE REGISTER NUMBER	PUBLICATION DATE

B. SUBMISSION OF REGULATIONS (Complete when submitting regulations)

1a. SUBJECT OF REGULATION(S) Disciplinary Guidelines		1b. ALL PREVIOUS RELATED OAL REGULATORY ACTION NUMBER(S)		
2. SPECIFY CALIFORNIA CODE OF REGULATIONS TITLE(S) AND SECTION(S) (Including title 26, if toxics related)				
SECTION(S) AFFECTED (List all section number(s) individually. Attach additional sheet if needed.)		ADOPT		
		AMEND		
TITLE(S) 16		REPEAL 1399.15		
3. TYPE OF FILING				
<input checked="" type="checkbox"/> Regular Rulemaking (Gov. Code §11346)		<input type="checkbox"/> Certificate of Compliance: The agency officer named below certifies that this agency complied with the provisions of Gov. Code §§11346.2-11347.3 either before the emergency regulation was adopted or within the time period required by statute.		<input type="checkbox"/> Emergency Readopt (Gov. Code, §11346.1(h))
<input type="checkbox"/> Resubmittal of disapproved or withdrawn nonemergency filing (Gov. Code §§11349.3, 11349.4)				<input type="checkbox"/> Changes Without Regulatory Effect (Cal. Code Regs., title 1, §100)
<input type="checkbox"/> Emergency (Gov. Code, §11346.1(b))		<input type="checkbox"/> Resubmittal of disapproved or withdrawn emergency filing (Gov. Code, §11346.1)		<input type="checkbox"/> File & Print
				<input type="checkbox"/> Print Only
				<input type="checkbox"/> Other (Specify) _____
4. ALL BEGINNING AND ENDING DATES OF AVAILABILITY OF MODIFIED REGULATIONS AND/OR MATERIAL ADDED TO THE RULEMAKING FILE (Cal. Code Regs. title 1, §44 and Gov. Code §11347.1)				
5. EFFECTIVE DATE OF CHANGES (Gov. Code, §§ 11343.4, 11346.1(d); Cal. Code Regs., title 1, §100)				
<input checked="" type="checkbox"/> Effective January 1, April 1, July 1, or October 1 (Gov. Code §11343.4(a))		<input type="checkbox"/> Effective on filing with Secretary of State		<input type="checkbox"/> \$100 Changes Without Regulatory Effect
				<input type="checkbox"/> Effective other (Specify) _____
6. CHECK IF THIS REGULATION(S) REQUIRE NOTICE TO, OR REVIEW, CONSULTATION, APPROVAL OR CONCURRENCE BY, ANOTHER AGENCY OR ENTITY				
<input checked="" type="checkbox"/> Department of Finance (Form STD. 399) (SAM §6660)		<input type="checkbox"/> Fair Political Practices Commission		<input type="checkbox"/> State Fire Marshal
<input checked="" type="checkbox"/> Other (Specify) Kimberly Kirchmeyer, Director, Department of Consumer Affairs				
7. CONTACT PERSON Elsa Ybarra		TELEPHONE NUMBER 916 561-8260	FAX NUMBER (Optional)	E-MAIL ADDRESS (Optional) elsa.ybarra@dca.ca.gov

8. I certify that the attached copy of the regulation(s) is a true and correct copy of the regulation(s) identified on this form, that the information specified on this form is true and correct, and that I am the head of the agency taking this action, or a designee of the head of the agency, and am authorized to make this certification.

SIGNATURE OF AGENCY HEAD OR DESIGNEE

Elsa Ybarra
(Elsa Ybarra: s00v2, 2021 12/28 PDI)

DATE

11/3/2021

TYPED NAME AND TITLE OF SIGNATORY

Elsa Ybarra, Assistant Executive Officer

For use by Office of Administrative Law (OAL) only

ENDORSED APPROVED

JAN 28 2022

Office of Administrative Law

PHYSICAL THERAPY BOARD OF CALIFORNIA
ORDER OF ADOPTION

The Physical Therapy Board of California proposes to amend section 1399.15 in Article 8 of Division 13.2, Title 16 of the California Code of Regulations, as follows:

1399.15 Guidelines for Issuing Citations and Imposing Discipline

(a) In reaching a decision on a disciplinary action under the Administrative Procedure Act (Government Code Section 11400 et seq.), the Board shall consider the "Guidelines for Issuing Citations and Imposing Discipline", (~~Revised December 2013~~ September 2020, ~~5th~~ 6th Edition; hereafter, "Guidelines") which are hereby incorporated by reference. Subject to paragraph (c), deviation from these guidelines and orders, including the standard terms of probation, is appropriate where the Board, in its sole discretion, determines that the facts warrant such a deviation -for example: The presence of mitigating or aggravating factors; the age of the case; evidentiary problems.

(b) Notwithstanding the Guidelines, any proposed decision issued in accordance with the procedures set forth in Chapter 5 (commencing with Section 11500) of Part 1 of Division 3 of Title 2 of the Government Code that contains any finding of fact that the licensee engaged in any act of sexual contact, as defined in subdivision (c) of Section 729 of the Code, with a patient, or any finding that the licensee has committed a sex offense or been convicted of a sex offense, shall contain an order revoking the license. The proposed decision shall not contain an order staying the revocation of the license.

As used in this section, the term "sex offense" shall mean any of the following:

(1) Any offense for which registration is required by Section 290 of the Penal Code or a finding that a person committed such an offense.

(2) Any offense defined in ~~Sections~~ Sections 261.5, 313.1, ~~647b, or 647,~~ subdivisions (a) or (d), or 647(b) of the Penal Code or a finding that a person committed such an offense.

(3) Any attempt to commit any of the offenses specified in this section.

(4) Any offense committed or attempted in any other state or against the laws of the United States which, if committed or attempted in this state, would be punishable as one or more of the offenses specified in this section.

(c) If the conduct found to be a violation involves drugs, alcohol, or both, and the individual is permitted to practice under conditions of probation, a clinical diagnostic evaluation shall be ordered as a condition of probation in every case, without deviation.

(1) Each of the "Conditions Applying the Uniform Standards," as set forth in the Guidelines, shall be included in any order subject to this subsection, but may be imposed contingent upon the outcome of the clinical diagnostic evaluation.

(2) The Substance Abuse Coordination Committee's "Uniform Standards Regarding Substance-Abusing Healing Arts Licensees" (Revised ~~April 2011~~ March 2019; hereafter, "Uniform Standards"), which are hereby incorporated by reference, shall be used in applying the probationary conditions imposed pursuant to this subsection.

(d) Nothing in this section shall prohibit the Board from imposing additional terms or conditions of probation in any order that the Board determines would provide greater public protection.

Note: Authority cited: Section 2615, Business and Professions Code.

Reference: Sections 315, 315.2, 315.4, 2660, 2660.1, 2660.2, 2661, and 2661.5, Business and Professions Code; and Section 11400.20 and 11425.50(e), Government Code.



GUIDELINES FOR ISSUING CITATIONS AND IMPOSING DISCIPLINE

Physical Therapy Board of California

Department of Consumer Affairs

**~~Physical Therapy Board of California
Guidelines for Issuing Citations
& Imposing Discipline~~**

~~Edmund G. Brown Jr., Governor~~

~~Denise Brown, Director~~

~~Department of Consumer Affairs~~

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~~Marty Jewell, PT, PhD, Vice President~~

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Published by the Physical Therapy Board of California, Department of Consumer Affairs, 2005 Evergreen Street, Suite 43502600, Sacramento, California 95815.

~~December 2013~~September 2020
56th Edition

TABLE OF CONTENTS

Statement of Purpose, Intent <u>&and</u> Expectations	4
Legal Authority – California Code of Regulation 1399.15	6
<u>Legal Authority - Text of 16 CCR 1399.15. Guidelines for Issuing Citations and Imposing Discipline.....</u>	<u>6</u>
Authority to Examine Due to Mental Illness and/or Physical Impairment.....	8
Definition of Substance Abuse Rehabilitation Program.....	10
Substance Abuse Rehabilitation Program Drug & Alcohol Recovery Monitoring Program – Overview of Participant Requirements and Costs	11
<u>Initial Practice Restrictions</u>	<u>12</u>
Denial of Licensure <u>&and</u> Issuance of an Initial Probationary License.....	13
Citations.....	15
Public Reprimands <u>&and</u> Reprimands.....	16
Guidelines Specific to Violation.....	17
Business and Professions Code.....	18
Title 16, California Code of Regulations.....	45
Health and Safety Code.....	51
Standard Probation Conditions.....	52
Specific Conditions of Probation.....	58
Conditions Applying the Uniform Standards Specific to Alcohol and/or Controlled Substance.....	67
<u>Model Orders for Licensee Discipline</u>	<u>74</u>
Glossary of Terms.....	74
Index	
Alphabetical Violation Index.....	78

Statement of Purpose, Intent & Expectations

The purpose of physical therapists and physical therapist assistant licensure in the State of California is to protect the public's health, safety and welfare from the incompetent and unprofessional practice of physical therapy. These gGuidelines for Issuing Citations and Imposing Discipline address the challenge of providing public protection and of enabling a licensee to practice ~~his or her~~their profession. In addition to protecting the public and rehabilitating a licensee, the Physical Therapy Board of California (Board) finds imposing the discipline set forth in the gGuidelines will further public protection by promoting uniformity, certainty, fairness, and deterrence.

The ~~Physical Therapy Board of California (Board)~~ is producing these ~~this~~ 5th edition of the "Guidelines for Issuing Citations and Imposing Discipline," (Revised September 2020, 6th Edition; hereafter, "gGuidelines)" for the public, individuals subject to issuance of a citation and fine, as well as those involved in the disciplinary process: Administrative Law Judges, Deputy Attorneys General, Members of the Board who review proposed decisions and stipulations and make final decisions, the Board's Executive Officer and staff, and Respondents and their Counsel.

When an Initial Probationary License has been issued, a Statement of Issues, or an Accusation has been filed, these actions indicate the nature of the alleged violation is severe enough to warrant disciplinary action if the allegations are proven true. An administrative citation is not discipline and is issued for less egregious violations. However, when documentation of significant mitigation has been received, discipline may not be required to protect the public.

When criminal charges are alleged and there is an immediate need to protect the public, application of Penal Code section 23 shall be sought. In addition, if the alleged conduct poses an immediate threat to public safety, an Interim Suspension Order shall be sought.

The Board has some basic expectations when an Administrative Law Judge determines the allegations are true and a cause for discipline exists. The Board recognizes a rare individual case may necessitate a departure from these gGuidelines. Any "Proposed Decision" that departs from the ~~disciplinary~~ gGuidelines shall identify the departures and the facts supporting the departure. However, in such a rare case, the mitigating circumstances must be detailed in the Findings of Fact, which is in every Proposed Decision.

These basic expectations include:

- 1) If at the time of hearing, the Administrative Law Judge finds Respondent, for any reason, not capable of safe practice, the Board expects the outright revocation of the license. This is particularly true in cases of patient sexual abuse. In less egregious cases, a stayed revocation with suspension and probation, pursuant to the gGuidelines contained in this manual, ~~will~~ be expected.

- 2) The Board expects revocation to normally be the appropriate order in cases where Respondent does not file a Notice of Defense or appear at a hearing.
- 3) When probation is granted, the inclusion of a stayed revocation order is essential to ensure compliance with terms of probation.
- 4) When the revocation of a license is stayed, a suspension of the license shall be considered when further education, medical or psychological evaluation or treatment is deemed necessary to ensure safe practice. A suspension, when imposed, should not be for less than indicated in the gGuidelines.
- 5) The Board expects the decision to include an order for cost recovery.

The Board expects a similar result and explanation for any Stipulationed Settlement negotiated prior to hearing. Any "Proposed Stipulated Settlement" that departs from the ~~disciplinary~~ gGuidelines shall be accompanied by a memorandum identifying the departures and the facts supporting the departure, as well as any mitigating or aggravating circumstances. ~~However, in such a rare case, the mitigating circumstances must be detailed in the Findings of Fact.~~

Probation conditions are divided into three categories: (1) Standard Conditions which the Board expects in all probation cases; (2) Specific Conditions that depend on the nature and circumstances of the particular case; and (3) Conditions Applying the Uniform Standards Specific to Alcohol and/or Controlled Substances.

LEGAL AUTHORITY

~~The legal authority for these guidelines is contained in Section 315, 315.2, 315.4, 2615, 2660, 2660.1, 2661 and 2661.5 of the Business and Professions Code; and Title 16, CCR §1399.15.~~

The legal authority for these Guidelines is contained in Business and Professions Code (BPC) section 2615.

For context, see BPC sections 315, 315.2, 315.4, 2660, 2660.1, 2660.2, 2661 and 2661.5; Government Code (Gov) sections 11400.20, 11425.50, subdivision (e); and California Code of Regulations (CCR), title 16, section 1399.15

California Code of Regulations
Title 16, Professional and Vocational Regulations
Division 13.2, Physical Therapy Board of California
Article 8. Enforcement Actions, Discipline and Reinstatement of License
Disciplinary Guidelines

16 CCR 1399.15. Guidelines for Issuing Citations and Imposing Discipline.

(a) In reaching a decision on a disciplinary action under the Administrative Procedure Act (Government Code Section 11400 et. seq.), the Board shall consider the "Guidelines for Issuing Citations and Imposing Discipline", (~~Revised December 2013, 5th, September 2020, 6th Edition~~; hereafter, "Guidelines") which are hereby incorporated by reference. Subject to paragraph (c), deviation from these ~~g~~Guidelines and orders, including the standard terms of probation, is appropriate where the Board, in its sole discretion, determines that the facts warrant such a deviation – for example: The presence of mitigating or aggravating factors; the age of the case; or evidentiary problems.

(b) Notwithstanding the Guidelines, any proposed decision issued in accordance with the procedures set forth in Chapter 5 (commencing with Section 11500) of Part 1 of Division 3 of Title 2 of the Government Code that contains any finding of fact that the licensee engaged in any act of sexual contact, as defined in subdivision (c) of Section 729 of the Code, with a patient, or any finding that the licensee has committed a sex offense or been convicted of a sex offense, shall contain an order revoking the license. The proposed decision shall not contain an order staying the revocation of the license.

As used in this section, the term "sex offense" shall mean any of the following:

- (1) Any offense for which registration is required by Section 290 of the Penal code or a finding that a person committed such an offense.
- (2) Any offense defined in Section 261.5, 313.1, ~~647b, or 647~~, subdivisions (a) or (d), or 647b of the Penal code or a finding that a person committed such an offense.

- (3) Any attempt to commit any of the offenses specified in this section.
- (4) Any offense committed or attempted in any other state or against the laws of the United States which, if committed or attempted in this state, would be punishable as one or more of the offenses specified in this section.

(c) If the conduct found to be a violation involves drugs, alcohol, or both, and the individual is permitted to practice under conditions of probation, a clinical diagnostic evaluation shall be ordered as a condition of probation in every case, without deviation.

(1) Each of the "Conditions Applying the Uniform Standards," as set forth in the Guidelines, shall be included in any order subject to this subsection, but may be imposed contingent upon the outcome of the clinical diagnostic evaluation.

(2) The Substance Abuse Coordination Committee's "Uniform Standards Regarding Substance-Abusing Healing Arts Licensees" (Revised ~~April 2014~~ March 2019; hereafter, "Uniform Standards"), which are hereby incorporated by reference, shall be used in applying the probationary conditions imposed pursuant to this subsection.

(d) Nothing in this section shall prohibit the Board from imposing additional terms or conditions of probation in any order that the Board determines would provide greater public protection.

- End of Page -

**AUTHORITY TO EXAMINE DUE TO MENTAL ILLNESS
AND/OR PHYSICAL IMPAIRMENT**

Business and Professions Code, S BPC section 820. Examination of licentiate for mental illness or physical illness affecting competency

Whenever it appears that any person holding a license, certificate or permit under this division or under any initiative act referred to in this division may be unable to practice his or her profession safely because the licentiate's ability to practice is impaired due to mental illness, or physical illness, affecting competency, the licensing agency may order the licentiate to be examined by one or more physicians and surgeons or psychologists designated by the agency. The report of the examiners shall be made available to the licentiate and may be received as direct evidence in proceedings conducted pursuant to BPC section 822.

Business and Professions Code, S BPC section 821. Effect of licentiate's failure to comply with order for examination

The licentiate's failure to comply with an order issued under Section 820 shall constitute grounds for the suspension or revocation of the licentiate's certificate or license.

Business and Professions Code, S BPC section 822. Action by licensing agency

If a licensing agency determines that its licentiate's ability to practice his or her profession safely is impaired because the licentiate is mentally ill, or physically ill, affecting competency, the licensing agency may take action by any one of the following methods:

- (a) Revoking the licentiate's certificate or license.
- (b) Suspending the licentiate's right to practice.
- (c) Placing the licentiate on probation.
- (d) Taking such other action in relation to the licentiate as the licensing agency in its discretion deems proper.

The licensing agency shall not reinstate a revoked or suspended certificate or license until it has received competent evidence of the absence or control of the condition which caused its action and until it is satisfied that with due regard for the public health and safety the person's right to practice his or her profession may be safely reinstated.

Business and Professions Code, S BPC section 823. Reinstatement of licentiate

Notwithstanding any other provisions of law, reinstatement of a licentiate against whom action has been taken pursuant to BPC Ssection 822 shall be governed by the procedures in this article. In reinstating a certificate or license which has been revoked or suspended under BPC section 822, the licensing agency may impose terms and conditions to be complied with by the licentiate after the certificate or license has been reinstated. The authority of the licensing agency to impose terms and conditions includes, but is not limited to, the following:

- (a) Requiring the licentiate to obtain additional professional training and to pass an examination upon the completion of the training.

(b) Requiring the licentiate to pass an oral, written, practical, or clinical examination, or any combination thereof to determine his or her present fitness to engage in the practice of his or her profession.

(c) Requiring the licentiate to submit to a complete diagnostic examination by one or more physicians and surgeons or psychologists appointed by the licensing agency. If the licensing agency requires the licentiate to submit to such an examination, the licensing agency shall receive and consider any other report of a complete diagnostic examination given by one or more physicians and surgeons or psychologists of the licentiate's choice.

(d) Requiring the licentiate to undergo continuing treatment.

(e) Restricting or limiting the extent, scope or type of practice of the licentiate.

Business and Professions Code, S BPC section 824. Options open to licensing agency when proceeding against licentiate

The licensing agency may proceed against a licentiate under either Section 820, or 822, or under both sections.

- End of Page -

DEFINITION OF SUBSTANCE ABUSE REHABILITATION PROGRAMS

The Board does not consider the Substance Abuse Rehabilitation Program (rehabilitation program) as diversion from discipline. As a result, the Board will not use the term "diversion" in these Guidelines.

~~The Board does not consider licensees who have been ordered to participate in the Board's diversion program to be "in diversion" rather the Board considers these individuals to be in a substance abuse rehabilitation program, hereafter referred to as the rehabilitation program. As a result, the Board will not use the term "diversion" in these disciplinary guidelines to describe licensees on probation or terms and conditions of probation related to the rehabilitation program. Instead the phrase "rehabilitation program" will be used.~~

There are two pathways into the Board's rehabilitation program:

(1) Participants with drug and/or alcohol addiction issues who have self-referred to the rehabilitation program and are not under a disciplinary order; and, (2) Participants who have been ordered into the Board's rehabilitation program ~~as a result of~~ resulting from violations of the Physical Therapy Practice Act related to drugs and/or alcohol addiction.

Self-Referrals

When a licensee enrolls in the Board's rehabilitation program as a self-referral, the participation is confidential. However, if the rehabilitation program determines a self-referred participant ~~is determined to be~~ is too great a risk to the public health, safety, and welfare to continue the practice of physical therapy, the rehabilitation program shall report the facts shall be reported by the rehabilitation program to the Executive Officer of the Board and shall provide all documents and information pertaining to and supporting that conclusion ~~shall be provided to the Executive Officer of the Board.~~ The Board may refer the matter may be referred for investigation and disciplinary action ~~by the Board.~~ Each physical therapist or physical therapist assistant who requests participation in a rehabilitation program shall agree to cooperate with the rehabilitation program designed for ~~him or her~~ them. Any failure to comply with the rehabilitation program may result in termination of participation in the rehabilitation program.

Probationary Participants

Probationary participants ~~are required to~~ shall comply with terms of probation or risk losing their license. Pursuant to ~~section 315 of the Business and Professions Code BPC section 315,~~ the Board uses the Substance Abuse Coordination Committee's Uniform Standards Regarding Substance-Abusing Healing Arts Licensees (4/2014 March 2019) (hereafter, "Uniform Standards"). A clinical diagnostic evaluation will be ordered as a term of probation and other Conditions Applying the Uniform Standards will also be included.

While self-referred licensees are not subject to terms and conditions in these Guidelines, they are subject to nearly identical contractual terms of participation and the violation of those terms could lead to termination of participation in the rehabilitation program.

SUBSTANCE ABUSE REHABILITATION PROGRAM

OVERVIEW OF PARTICIPANT REQUIREMENTS & AND COSTS (For either self-referrals or probation participants)

Licensees enrolled in the rehabilitation program are required to pay the entire cost of the rehabilitation program pursuant to ~~Business and Professions Code S BPC~~ section 2668. The rehabilitation program costs include the monthly administrative fee, monthly health support fees, and random drug and alcohol testing fees. ***All rehabilitation program fees are subject to change.***

Substance Abuse Rehabilitation Program Timeframe: Participation in the rehabilitation program shall run until completion of the substance abuse rehabilitation program ~~is for a period of approximately 3-5 years.~~

Monthly Administrative Fee: The participant shall pay the monthly administrative fee directly to the rehabilitation program. ~~The monthly administrative fee is currently \$288. The monthly administrative fee may increase 3-5% annually each July. Costs~~ Fees are dependent on the contracted costs.

Random Biological Testing (RBT) & Fee: ~~Currently the average cost of each RBT is approximately \$60.00 plus the collection fee at the testing site which can cost up to \$125.00 and possibly more if the applicant is required to test on a weekend. Additionally, there are charges for the medical review officer (MRO) who reviews any positive drug test results, at the Board's request. Medical reviews are usually a direct result of problematic (i.e. positive) RBT results.~~

The participant is required to pay the RBT cost at a fee set by the rehabilitation program. The frequency of testing can vary and is scheduled randomly throughout the year, including weekends and holidays.

Professional Support Group Meetings: Support group meetings are a treatment modality of the rehabilitation program. These groups are attended exclusively by licensed professionals who are in their own recovery and involved in a rehabilitation program. The support group facilitators are licensed professionals who have extensive clinical experience in working with licensed professionals in recovery and in rehabilitation programs. Each participant is required to attend support group meetings, two times per week, during their first 18 months in the rehabilitation program. The frequency of support group meeting attendance can be reduced to one time, per week, after 18 months of successful participation in the rehabilitation program. This reduction is also based upon the on-going clinical evaluation of each participant.

Professional Support Group Meeting Fees: The participant pays the monthly support group meeting fees directly to the support group facilitator. Support groups ~~all charge different fees and negotiate directly with the participant. Average costs range from \$200-\$500 monthly. Participants may be required to attend support groups once or twice~~

weekly.

12-Step Meetings: All participants are required to attend community-based 12-step meetings. The frequency requirement for attending 12-step meetings range from daily attendance to three times per week. The frequency requirement is established and modified by the Clinical Case Manager based upon the on-going clinical evaluation of each participant. Generally, there is not a cost associated with attending 12-step meetings. Contributions at the 12-step meetings are voluntary.

Clinical Assessment: All participants are required to undergo an initial clinical assessment and subsequent re-assessments by contracted Assessors. There is currently no cost to the ~~Physical Therapy Board~~ participants for the initial clinical assessment and the annual re-assessments. However, if the participant is required to have more than one clinical assessment, per year, the participant is required to pay the cost for the additional clinical assessment. These additional assessments are usually a direct result of reoccurring problems in the rehabilitation program.

Additional Costs to Third Parties: Participants may be required to enter formal chemical dependency treatment (i.e. inpatient or outpatient facilities) at treatment programs approved by the rehabilitation program. Referrals to specific treatment programs are based upon the assessment of a participant's clinical need. The cost of any formal chemical dependency treatment program is the sole responsibility of each participant. ~~The p~~Participants may also be required to undergo formal treatment for a mental health diagnosis. The cost of any formal treatment for mental health treatment program is the sole responsibility of each participant.

Worksite Monitor: Each participant is required to have a ~~w~~Worksite ~~m~~Monitor at ~~his or her~~the participant's place of employment. The rehabilitation program ~~will~~shall provide the applicant with the required consent forms at the time of enrollment. The ~~w~~Worksite ~~m~~Monitor is required to report to the rehabilitation program on the status of the participant.

Other Requirements: The participant must submit monthly self-evaluation reports and call into the rehabilitation program on a routine, often daily, basis. The assigned Clinical Case Manager will determine the frequency of how often the participant needs to call in. Participants are required to receive prior approval from the Clinical Case Manager before scheduling and taking any vacations. The participant's Clinical Case Manager may determine other requirements.

INITIAL PRACTICE RESTRICTIONS

BPC section 315 requires the Board to use the Uniform Standards formulated by the Department of Consumer Affairs, Substance Abuse Coordination Committee entitled, "Uniform Standards Regarding Substance-Abusing Health Arts Licensee." Uniform Standard 2 requires that the Board order a licensee to cease practice pending the results of the clinical diagnostic evaluation and review by Board staff.

While awaiting the results of the evaluation participants are randomly drug tested at least two times per week. After reviewing the results of the clinical diagnostic evaluation, program and Board staff will determine if the participant is safe to return to practice. If the participant is determined safe to return to practice, program and Board staff will then authorize the number of hours permitted to practice. However, no participant shall be authorized to return to practice until they have negative drug tests for a period of at least 30 days.

A complete copy of the "Uniform Standards Regarding Substance-Abusing Healing Arts Licensees" may be found at: http://www.ptbc.ca.gov/forms/sb_1441.pdf

DENIAL OF LICENSURE ~~& AND~~ ISSUANCE OF AN INITIAL PROBATIONARY LICENSE

Mandatory Denial of a License

In accordance with ~~S BPC section 2660.5 of the B&P Code~~, the Board must deny licensure to any applicant who is required to be registered pursuant to Penal Code ~~S~~section 290, unless the only basis for the registration is a misdemeanor conviction under Penal Code ~~S~~section 314. There is no discretion allowed. If an applicant is a Penal Code section 290 registrant, ~~his or her~~the application for licensure ~~will~~shall be denied.

Permissive Denials of a License

The Board has the ~~right~~authority to deny a license to any applicant for any of the following reasons:

~~Business and Professions Code BPC section 480;~~ ~~†The Physical Therapy Board~~ has the authority to deny licensure to any applicant whose misconduct or criminal history is substantially related to the qualifications, functions, or duties of a physical therapist or physical therapist assistant. Reasons for denial of a license include, but are not limited to, the following:

- ~~• Conviction of a crime substantially related to the practice of physical therapy~~
 - ~~• Any act involving dishonesty, fraud, or deceit with intent to substantially benefit self or another or to substantially injure another~~
 - ~~• Any act which is grounds for revocation of a license~~
 - ~~• Making a false statement on the application~~
-
- The applicant has been convicted of a crime within the preceding seven years from the date of application that is substantially related to the qualifications, functions, or duties of the business or profession.
 - The applicant was convicted of a serious felony, as defined in Ssection 1192.7 of the Penal Code.
 - The applicant has been subjected to formal discipline by a licensing board in or outside California, within the preceding seven years from the date of application, based on professional misconduct that would have been cause for discipline before the board for which the present application is made and that is substantially related to the qualifications, functions, or duties of the business or profession for which the present application is made.
 - The applicant knowingly made a false statement of fact that is required to be revealed in the application for the license.

~~In addition to Section 480, the Board has the authority to deny a license for any of the following reasons:~~

~~Business and Professions Code BPC section 2635: e~~Every applicant for a license under this chapter shall, at the time of application, be a person over 18 years of age, not addicted to alcohol or any controlled substance, have successfully completed the education and

training required by BPC section 2650, and not have committed acts or crimes constituting grounds for denial of licensure under Section 480.

~~Business and Professions Code~~ BPC section 2660, subdivision (f)(1): Obtaining or possessing in violation of law, or except as directed by a licensed physician and surgeon, dentist, or podiatrist, administering to himself or herself, or furnishing or administering to another, any controlled substances or any dangerous drug.

~~Business and Professions Code~~ BPC section 2660, subdivision (f)(2): Using any controlled substance or any dangerous drug.

~~Business and Professions Code~~ BPC section 2660, subdivision (w): Habitual intemperance.

~~Business and Professions Code~~ BPC section 2660.2, subdivision (a): The Board may refuse a license to any applicant guilty of unprofessional conduct or sexual activity referred to in Section 2660.1.

~~Business and Professions Code~~ BPC section 2660.2: The Board may refuse a license to any applicant guilty of unprofessional conduct or sexual misconduct as defined in ~~B&P Code, S~~ BPC section 2660.1 and CCR, Title 16 CCR, California Code of Regulations, S section 1399.23. The Board may, in its sole discretion, issue a public letter of reprimand or may issue a probationary license to any applicant for a license who is guilty of unprofessional conduct, but who has met all other requirements for licensure.

Appeal Rights

The applicant has the right to appeal the denial or the issuance of a license with terms and conditions. In either case, a Statement of Issues would be filed in accordance with Chapter 5, (commencing with ~~S~~ section 11500) of Part 1 of Division 3 of Title 2 of the Government Code, and the Board shall have all the powers granted therein.

Any person whose application for a license has been denied by the Board may reapply to the Board for a license only after a period of one (1) year from the date of the denial.

CITATION

The ~~Physical Therapy Board~~ may issue a citation pursuant to BPC Section 125.9 of the ~~Business and Professions Code~~, as an alternate means to address relatively minor violations not necessarily warranting discipline.

Citations are not disciplinary actions, but are matters of public record. The citation program increases the effectiveness of the Board's consumer protection process by providing a method to effectively address less egregious violations.

Citations shall be in writing and shall describe the particular nature and facts of the violation, including a reference to the statute or regulation allegedly violated. In assessing a fine, the Board shall give due consideration to the factors enumerated in 16, CCR 1399.25 of Title 16 of the CCR.

Payment of a fine with or without an informal conference or administrative hearing does not constitute an admission of the violation charged, but represents a satisfactory resolution of the citation for purposes of public disclosure.

After a citation is issued, the person may:

- 1) Pay the fine/comply with any Order of Abatement and the matter will be satisfactorily resolved.
- 2) Request an informal conference. At the conclusion of the informal conference which, the citation may be affirmed, modified or dismissed, including any fine levied or order of abatement issued.
- 3) Request an Administrative Hearing in appeal of the citation whether or not an informal conference was held.

Failure to pay a fine, unless the citation is being appealed, may result in disciplinary action. Where a citation is not contested and a fine is not paid, the fine shall be added to the fee for renewal of the license.

PUBLIC REPROVALS &AND REPRIMANDS

~~Business & Professions Code~~ BPC ~~Section~~ 495 authorizes the Board to publicly reprove a physical therapist or physical therapist assistant for an act constituting grounds for suspension or revocation of a license. The Board may consider issuing a Public Reprival as part of a disciplinary order, if any of the following circumstances may be considered when the following circumstances exist:

1. The offense is an isolated incident;
2. Sufficient time elapsed since the offense without further violations indicating a recurrence is unlikely;
3. Respondent admitted to the offense;
4. Respondent indicated remorse;
5. No prior discipline for a similar violation exists; or
6. In the case of an offense related to substance abuse, active participation in a recovery program has been documented for at least one (1) year without a relapse.

In lieu of filing or prosecuting a formal accusation against a licensee, ~~Business & Professions Code~~ BPC ~~Section~~ 2660.3 authorizes the Board, upon stipulation or agreement by the licensee, to issue a public letter of reprimand after it has conducted an investigation or inspection. The public letter of reprimand may include a requirement for specified training or education, and cost recovery for investigative costs. The Board may use a public letter of reprimand only for minor violations (as defined by the Board) committed by the licensee. Examples of Mminor violations, include, but are not limited to, the following:

1. First DUI with no underlying circumstances that would be considered egregious; (e.g. no bodily injury to any third party);
2. One (1) minor adverse action in another State;
3. ~~Failure to maintain patient records, such as an isolated incident of a Minor documentation violations.~~

GUIDELINES SPECIFIC TO VIOLATION

The following offenses are listed in numerical order of the statutory numbers in the Business and Professions Code (~~BPC&P Code~~), the California Code of Regulations (CCR), and the Health and Safety Code (~~HSC&S Code~~).

~~BPC&P Code section 2660, subdivision (a), authorizes the Physical Therapy Board of California to cite violations of the Medical Practice Act. When the Board cites violations of the Medical Practice Act are cited, the Board must also cite BPC&P Code section 2660, subdivision (a) must also be cited.~~

Violations of the ~~BPC&P Code~~ or the CCR may result in the issuance of a Citation, Public Reprimand, Initial Probationary License, filing of a Statement of Issues, or an Accusation. Filing of criminal charges shall be sought when appropriate.

BUSINESS AND PROFESSIONS CODE

BPC sec. 123. SUBVERTING OR ATTEMPTING TO SUBVERT A LICENSING EXAM **B&P CODE 123**

Citation:

Minimum Fine: \$100
Maximum Fine: \$5,000

Discipline:

Minimum: Public Reproval or Initial Probationary License
Maximum: Revocation or denial of license

Conditions of Probation:

- 1) All "Standard Probation Conditions"
- 2) "Probation Conditions Specific to Violation" for consideration are: A, B, C, D, E, F, K, L, M, N, P

"Probation Conditions Specific to Violation" should be considered individually since some, or all, may **not** apply.

Refer to related statutes: BPC sections 584, 2660(i)(j), 2660.7

BPC sec. 136. CHANGE OF ADDRESS REPORTING REQUIREMENT ~~**B&P CODE 136**~~

Citation:

Minimum Fine: \$100
Maximum Fine: \$1,000

Discipline:

Minimum: Public Reproval
Maximum: Public Reproval

Refer to related regulation: 16 CCR sec.1398.6

BPC sec. 141. DISCIPLINARY ACTION BY A FOREIGN JURISDICTION ~~**B&P CODE 141**~~

Citation:

Minimum Fine: \$100
Maximum Fine: \$5,000

Discipline:

If violation is an offense in California, refer to corresponding statute. If not, the Board shall consider the discipline order from the state, federal government, or country of discipline when determining disciplinary action.

Refer to related statute and/or regulation: B&P Code BPC sec. 2660(o); 16 CCR sec. 1399.24(d)(4)

BPC sec. 480(a)(1). GROUNDS FOR DENIAL OF APPLICATIONS FOR LICENSURE; CONVICTION OF CRIME

Discipline:

Minimum: License Issued with Public Reapproval

Maximum: Denial of License

Refer to related statute for Conditions of Probation: BPC sec. 490

BPC sec. 480 (a)(1)(A). GROUNDS FOR DENIAL OF APPLICATIONS FOR LICENSURE; CONVICTION OF A SERIOUS FELONY

Discipline:

Minimum: License Issued with Public Reapproval

Maximum: Denial of License

Refer to related statutes for Conditions of Probation: BPC sections 2660(e) and (f)

BPC sec. 480(a)(1)(B). GROUNDS FOR DENIAL OF APPLICATIONS FOR LICENSURE; CONVICTION OF A FINANCIAL CRIME CLASSIFIED AS A FELONY

Discipline:

Minimum: License Issued with Public Reapproval

Maximum: Denial of License

Refer to related statutes for Conditions of Probation: BPC sec. 2660(e)

BPC sec. 480(a)(2). GROUNDS FOR DENIAL OF APPLICATIONS FOR LICENSURE; FORMAL DISCIPLINE BY A LICENSING BOARD IN OR OUTSIDE CALIFORNIA

Discipline:

Minimum: License Issued with Public Reapproval

Maximum: Denial of License

Conditions of Probation:

1) All "Standard Probation Conditions"

2) "Probation Conditions Specific to Violation" for consideration are: A, B, C, D, E, F, J, K, L, M, N

Refer to related statutes: BPC sec. 141, 2660(o)

BPC sec. 480(a)(2)(e). GROUNDS FOR DENIAL OF APPLICATIONS FOR LICENSURE;

KNOWINGLY MAKING A FALSE STATEMENT OF FACT

Discipline:

Minimum: License Issued with Public Reapproval

Maximum: Denial of License

Conditions of Probation:

1) All "Standard Probation Conditions"

2) "Probation Conditions Specific to Violation" for consideration are: A, B, C, D, E, F, J, K, L, M, N, O, P

Refer to related statutes: BPC sections 499, 583, 2660(J).

**BPC sec. 490. CONVICTION OF A CRIME - SUBSTANTIAL RELATIONSHIP
REQUIRED B&P CODE 490**

Citation:

Minimum Fine: \$100

Maximum Fine: \$5,000

Discipline:

Minimum: Public Reapproval

Maximum: Revocation

Conditions of Probation:

1) All "Standard Probation Conditions"

2) "Probation Conditions Specific to Violation" for consideration are: A, B, C, D, E, F, M, J, N, PO, T, U, V, W, X, Y, Z, AA, BB, CC, DD, EE

"Probation Conditions Specific to Violation" should be considered individually since some, or all, may **not** apply.

(Condition "PR" should be considered if the violation(s) relate(s) to psychiatric conditions. Conditions "T" thru "AA" through FF" should only be used if the violation relates to alcohol/substance abuse.)

Refer to related statutes and/or regulations: BPC sections ~~B&P Code 2236, 480~~, 2660(e), (f)(1), (2), (3), (w) (a)¹, 2661; 16 CCR 1399.24(d)

**BPC sec. 490.5. COMPLIANCE WITH CHILD SUPPORT ORDERS AND JUDGMENTS
B&P CODE 490.5**

¹ B&P Code 2660(a) authorizes the Physical Therapy Board of California to cite the Medical Practice Act.

In addition to the mandatory suspension pursuant to Family Code section 17520:
requirements of B&P 490.5.

Citation:

Minimum Fine: \$100
Maximum Fine: \$5,000

Discipline:

Minimum: Public Reproval
Maximum: Public Reproval

BPC sec. 498. OBTAINING LICENSURE BY FRAUD B&P CODE 498

Discipline:

Revocation or Denial of License

Refer to related statutes: BPC sections B&P Code 499, 581, 582, 583, 2235, 2660(c)(a)¹

BPC sec. 499. FALSE STATEMENT OF MATERIAL FACT FOR APPLICATION B&P CODE 499

Discipline:

Revocation or Denial of License

Refer to related statutes: BPC sections B&P Code 498, 581, 582, 583, 2235, 2660(c)(a)¹

BPC sec. 580. SALE OR BARTER OF DEGREE, CERTIFICATE OR TRANSCRIPT B&P CODE 580

Discipline:

Revocation or Denial of License

BPC sec. 581. PURCHASE OR FRAUDULENT ALTERATION OF DIPLOMA OR OTHER WRITINGS B&P CODE 581

Discipline:

Revocation or Denial of License

Refer to related statutes: BPC sections B&P Code 498, 499, 582, 583, 2235, 2660(c)(a)¹

BPC sec. 582. USE OF ILLEGALLY OBTAINED, ALTERED OR COUNTERFEIT DIPLOMA, CERTIFICATE, OR TRANSCRIPT B&P CODE 582

¹ B&P Code 2660(a) authorizes the Physical Therapy Board of California to cite the Medical Practice Act.

Discipline:
Revocation or Denial of License

Refer to related statutes: BPC sections B&P Code 498, 499, 581, 583, 2235, 2660(c)(a)¹

BPC sec. 583. FALSE STATEMENTS IN APPLICATION DOCUMENTS OR WRITINGS
B&P CODE 583

Discipline:
Revocation or Denial of License

Refer to related statutes: BPC sections B&P Code 498, 499, 581, 582, 2235, 2660(c)(a)¹

BPC sec. 584. VIOLATION OF EXAMINATION SECURITY; IMPERSONATION B&P
CODE 584

Discipline:
Revocation or Denial of License

Refer to related statutes: BPC sec. B&P Code 2288, 2660(a), 2660.7

BPC sec. 650. CONSIDERATION FOR REFERRALS PROHIBITED B&P CODE 650

Citation:
Minimum Fine: \$100
Maximum Fine: \$5,000

Discipline:
Minimum: Revocation, stayed, 30 days' suspension, 3 years' probation
Maximum: Revocation

Conditions of Probation in Addition to Standard Conditions: NONE

Refer to related statutes: BPC sec. B&P Code 2660(t)

BPC sec. 651. ADVERTISING VIOLATIONS- DISSEMINATION OF FALSE OR
MISLEADING INFORMATION CONCERNING PROFESSIONAL SERVICES OR
PRODUCTS B&P CODE 651

Citation:
Minimum Fine: \$1,000
Maximum Fine: \$5,000

Discipline:

¹ ~~B&P Code 2660(a) authorizes the Physical Therapy Board of California to cite the Medical Practice Act.~~

Minimum: Revocation, stayed, 30 days' suspension, 3 years' probation
Maximum: Revocation

Conditions of Probation:

- 1) All "Standard Probation Conditions"
- 2) "Probation Conditions Specific to Violation" for consideration are: E

"Probation Conditions Specific to Violation" should be considered individually since some, or all, may **not** apply.

Refer to related statutes and/or regulation: BPC section B&P Code 2660(b),(q), H&S 17500; 16 CCR sec. 1398.10

BPC sec. 654.2. BENEFICIAL INTEREST REFERRALS – REQUIRED DISCLOSURE STATEMENT B&P 654.2

Citation:

Minimum Fine: \$100
Maximum Fine: \$5,000

Discipline:

Minimum: Revocation, stayed, days' suspension, 3 years' probation
Maximum: Revocation

Conditions of Probation in Addition to Standard Conditions: NONE

Refer to related statutes: BPC sec. B&P Code 2660(q)

BPC sec. 680. HEALTH CARE PRACTITIONER'S DISCLOSURE OF NAME AND LICENSE STATUS B&P CODE 680

Citation:

Minimum Fine: \$100
Maximum Fine: \$5,000

Discipline:

Minimum: Public Reproval
Maximum: Public Reproval

Refer to related regulation: 16 CCR sec. 1398.11

BPC sec. 725. EXCESSIVE PRESCRIBING OR TREATMENT B&P CODE 725

Citation:

Minimum Fine: \$100
Maximum Fine: \$5,000

Discipline:

Minimum: Revocation, stayed, 30 days' suspension, 3 years' probation
Maximum: Revocation

Conditions of Probation:

- 1) All "Standard Probation Conditions"
- 2) "Probation Conditions Specific to Violation" for consideration are: A, B, C, D, E, F, H, J, K, L, M, N, O, P.

"Probation Conditions Specific to Violation" should be considered individually since some, or all, may **not** apply.

Refer to related statutes: BPC sec. B&P Code 2234(b), 2660(a)(h)

BPC sec. 726. SEXUAL ABUSE OR MISCONDUCT WITH PATIENT OR CLIENT B&P CODE 726

Note: Pursuant to 16 CCR sec. 1399.15, any findings the licensee committed a sex offense or been convicted of a sex offense, the order shall revoke the license. The proposed decision shall not contain an order staying the revocation of the license.

Refer to related statutes and/or regulation: BPC sections B&P Code 2660(m)-(p), 2660.1; 16 CCR 1399.15(b)

BPC sec. 802. REPORTS OF MALPRACTICE SETTLEMENTS OR ARBITRATION AWARDS INVOLVING UNINSURED LICENSEES; PENALTIES FOR NON-COMPLIANCE B&P CODE 802

Citation:

Minimum Fine: \$100
Maximum Fine: \$5,000

Discipline: Refer to related statutes and/or regulation violation triggering malpractice settlement.

BPC sec. 810. INSURANCE FRAUD - UNPROFESSIONAL CONDUCT B&P CODE 810

Citation:

Minimum Fine: \$100
Maximum Fine: \$5,000

Discipline:

Minimum: Revocation, stayed, 30 days' suspension, 3 years' probation
Maximum: Revocation

Conditions of Probation:

- 1) All "Standard Probation Conditions"
- 2) "Probation Conditions Specific to Violation" for consideration are: A, B, C, D, E, F, J, K, L, M, N

"Probation Conditions Specific to Violation" should be considered individually since some, or all, may **not** apply.

Refer to related statutes: BPC sec. B&P Code 2261, 2262, 2660(a)¹, (g)(j)(r)(s)

BPC sec. 900. REQUIREMENTS FOR LICENSE EXEMPTION B&P CODE 901

Citation:

Minimum Fine: \$100
 Maximum Fine: \$5,000

Refer to related statutes and regulations: BPC sec. B&P Code, 2630.5 (c)(d)(e)(f)(g) GCR 1399.99.2, 1399.99.3, 1399.99.4

BPC sections 2068, 2660(a)¹. NUTRITIONAL ADVICE B&P CODE 2068, 2660(a)¹

Citation:

Minimum Fine \$100
 Maximum Fine \$5,000

Discipline:

Minimum: Public Reproval
 Maximum: Public Reproval

GROSS NEGLIGENCE B&P CODE 2234(b), 2660(a)¹

Refer to related statutes: B&P Code 725, 2660(h)

REPEATED NEGLIGENT ACTS B&P CODE 2234(c), 2660(a)¹

Refer to related statute: B&P Code 2660(h)

BPC sections 2234 (d), 2660(a). INCOMPETENCE B&P CODE 2234(d), 2660(a)¹

Refer to related statute: BPC sec. B&P Code 2660(d)(h)

PROCURING LICENSE BY FRAUD B&P CODE 2235, 2660(a)¹

¹ B&P Code 2660(a) authorizes the Physical Therapy Board of California to cite the Medical Practice Act.

Discipline:

Revocation or cancellation is the only suitable discipline in as much as the license would not have been issued but for the fraud or misrepresentation.

Refer to statute(s) for appropriate penalties: B&P Code 498, 499, 581, 582, 583, 2660(c)

CRIMINAL CONVICTION B&P CODE 2236, 2660(a)¹

Note: If the conviction relates to the use or abuse (i.e. possession, possession for sale, trafficking, etc.) of a controlled substance for DUI or related offenses, or if the conviction was attributed to the use of a controlled substance or alcohol (i.e. disorderly conduct) the conditions applying the "Uniform Standards Regarding Substance Abusing Healing Arts Licensees" shall be imposed.

Refer to related statutes and/or regulation: B&P Code 490, 2660(e)(f), 2661, CCR 1399.24(d)

BPC sections 2237, 2660(a)¹. CONVICTION RELATED TO DRUGS B&P CODE 2237, 2660(a)¹

Discipline:

Minimum: Revocation, stayed, 30 days' suspension, 3 years' probation
Maximum: Revocation

Conditions of Probation:

- 1) All "Standard Probation Conditions"
- 2) "Probation Conditions Specific to Violation" for consideration are: A, B, C, D, JM, N, O, PQ, T, U, V, W, X, Y, Z, AA, BB, CC, DD, EE

"Probation Conditions Specific to Violation" should be considered individually since some, or all, may **not** apply.

Note: If the conviction relates to the use or abuse (i.e. possession, possession for sale, trafficking, etc.) of a controlled substance for DUI or related offenses, or if the conviction was attributed to the use of a controlled substance or alcohol (i.e. disorderly conduct) the conditions applying the "Uniform Standards Regarding Substance-Abusing Healing Arts Licensees" shall be imposed.

NOTE: Outright revocation for conviction of illegal sales of controlled substances unless extensive mitigation appears.

BPC sections 2238, 2660(a)¹. VIOLATION OF DRUG STATUTES B&P CODE 2238, 2660(a)¹

Discipline:

¹ BPC section 2660(a) authorizes the Physical Therapy Board of California to cite the Medical Practice Act.

Minimum: Revocation, stayed, 30 days' suspension, 3 years' probation
Maximum: Revocation

Conditions of Probation:

- 1) All "Standard Probation Conditions"
- 2) "Probation Conditions Specific to Violation" for consideration are: A, B, C, D, JM, N, OP, Q, T, U, V, W, X, Y, Z, AA, BB, CC, DD, EE

"Probation Conditions Specific to Violation" should be considered individually since some, or all, may **not** apply.

The conditions applying the "Uniform Standards Regarding Substance-Abusing Healing Arts Licensees" shall be imposed.

NOTE: Outright revocation for conviction of illegal sales of controlled substances unless extensive mitigation appears.

BPC sections 2239, 2660(a)¹. SELF ABUSE OF DRUGS OR ALCOHOL B&P CODE 2239, 2660(a)¹

Discipline:

Minimum: Revocation, stayed, suspension until the ability to practice safely is determined, participation in the rehabilitation program, 5 years' probation or until satisfactory completion of the rehabilitation program, whichever is longer.
Maximum: Revocation

Conditions of Probation:

- 1) All "Standard Probation Conditions"
- 2) "Probation Conditions Specific to Violation" for consideration are: A, B, C, D, J, L, M, N, O, Q, P, T, U, V, W, X, Y, Z, AA, BB, CC, DD, EE

"Probation Conditions Specific to Violation" should be considered individually since some, or all, may **not** apply.

The conditions applying the "Uniform Standards Regarding Substance-Abusing Healing Arts Licensees" shall be imposed.

Refer to related statutes: BPC sec. B&P Code 2660(f)(1)(2)(3), 2660(w)

MAKING FALSE DOCUMENTS B&P CODE 2261, 2660(a)¹

¹ BPC section 2660(a) authorizes the Physical Therapy Board of California to cite the Medical Practice Act.

Refer to related statutes: B&P Code 810, 2262, 2660(a)¹, (s)

~~ALTERATION OF MEDICAL RECORDS B&P CODE 2262, 2660(a)¹~~

Refer to related statutes: B&P Code 810, 2261, 2660(a)¹, 2660(s)

~~VIOLATION OF PROFESSIONAL CONFIDENCE B&P CODE 2263, 2660(a)¹~~

Refer to related statute: B&P Code 2660(u)

~~AIDING AND ABETTING UNLICENSED PRACTICE B&P CODE 2264, 2660(a)¹~~

Refer to related statutes and/or regulation: B&P Code 2630, 2660(i), CCR 1399

~~FALSE OR MISLEADING ADVERTISING B&P CODE 2271, 2660(a)¹~~

Refer to related statutes and/or regulation: B&P Code 651, 2660(b), 17500, CCR 1398.10

~~EMPLOYMENT OF RUNNERS, CAPPERS AND STEERERS B&P CODE 2273, 2660(a)¹~~

Refer to related statute: B&P Code 2660(t)

**BPC sections 2274, 2660(a)¹. UNAUTHORIZED USE OF MEDICAL DESIGNATION
B&P CODE 2274, 2660(a)¹**

Citation:

Minimum Fine: \$100
Maximum Fine: \$5,000

Discipline:

Minimum: Revocation, stayed, 30 days' suspension, 3 years' probation
Maximum: Revocation

Conditions of Probation in Addition to Standard Conditions: NONE

¹ BPC section 2660(a) authorizes the Physical Therapy Board of California to cite the Medical Practice Act.

~~VIOLATION OF PROFESSIONAL CORPORATION ACT B&P CODE 2286, 2660(a)¹~~

Citation: Minimum Fine: _____ \$100
Maximum Fine _____ \$5,000

Discipline:

Minimum: _____ Public Repeval
Maximum: _____ Revocation

Conditions of Probation in Addition to Standard Conditions: ~~NONE~~

Refer to related statute: ~~B&P Code 2694~~

~~IMPERSONATION OF APPLICANT IN EXAM B&P CODE 2288, 2660(a)¹~~

Discipline:

Revocation or denial of license

Refer to related statutes: ~~B&P Code 584, 2660.7~~

BPC sections 2289, 2660(a)¹. IMPERSONATION- PRACTICE OF MEDICINE B&P CODE 2289, 2660(a)¹

Discipline:

Minimum: Revocation, stayed, 180 days' suspension, 7 years' probation
Maximum: Revocation

Conditions of Probation:

- 1) All "Standard Probation Conditions"
- 2) "Probation Conditions Specific to Violation" for consideration are: A, B, C, D, E, G, J, K, L, M, N, O, P

"Probation Conditions Specific to Violation" should be considered individually since some, or all, may **not** apply.

(Term "F" to be used only when self-employed or owner)

Refer to related statute: ~~BPC sec. B&P Code 2660(i)(i)~~

BPC sec. 2608.5. AUTHORIZATION TO INSPECT PATIENT- RECORDS B&P CODE 2608.5

Citation:

¹ BPC section 2660(a) authorizes the Physical Therapy Board of California to cite the Medical Practice Act.

Minimum Fine: \$100
Maximum Fine: \$5,000

Discipline:

Minimum: Public Reproval
Maximum: Revocation

Conditions of Probation in Addition to Standard Conditions: NONE

Refer to related statute and/or regulation: ~~B&P Code 2660 (u)~~, 16 CCR 1399.24(c)

BPC sec. 2620.3. TOPICAL MEDICATIONS B&P CODE 2620.3

Citation:

Minimum Fine: \$100
Maximum Fine: \$5,000

Discipline:

Minimum: Public Reproval
Maximum: Revocation

Conditions of Probation in Addition to Standard Conditions: NONE

Refer to related regulations: 16 CCR sections 1399.77, 1399.78, 1399.79

BPC sec. 2620.5. CERTIFICATION TO PENETRATE TISSUE FOR THE PURPOSE OF NEUROMUSCULAR EVALUATION B&P CODE 2620.5

Citation:

Minimum Fine: \$100
Maximum Fine: \$5,000

Discipline:

Minimum: Revocation, stayed, 5 days' suspension, 3 years' probation
Maximum: Revocation

Conditions of Probation:

- 1) All "Standard Probation Conditions"
- 2) "Probation Conditions Specific to Violation" for consideration are: D

"Probation Conditions Specific to Violation" should be considered individually since some, or all, may **not** apply.

Refer to related statute and/or regulation: BPC sec. ~~B&P Code 2660(d)~~, 16 CCR 1399.61

BPC sec. 2620.7. MAINTENANCE OF PATIENT RECORDS B&P CODE 2620.7

Citation:

Minimum Fine: \$100
Maximum Fine: \$5,000

Discipline:

Minimum: Public Reproval
Maximum: Revocation

Conditions of Probation:

- 1) All "Standard Probation Conditions"
- 2) "Probation Conditions Specific to Violation" for consideration are: A, B, N, OP

"Probation Conditions Specific to Violation" should be considered individually since some, or all, may **not** apply.

Refer to related statute and/or regulation: BPC sec. ~~B&P Code~~ 2660(g); 16 CCR 1398.13

BPC sec. 2622. PHYSICAL THERAPIST PATIENT CARE RESPONSIBILITY & AND USE OF ASSISTIVE PERSONNEL ~~B&P CODE 2622~~

Citation:

Minimum Fine: \$100
Maximum Fine: \$5,000

Discipline:

Minimum: Public Reproval
Maximum: Revocation

Refer to related statutes and/or regulations: BPC sections ~~B&P Code~~ 2630.3, 2630.4; ~~2633~~, 16 CCR sections 1398.44, 1399

BPC sec. 2630. UNLICENSED PRACTICE AS A PHYSICAL THERAPIST ~~B&P CODE 2630~~

Citation:

Minimum Fine: \$100
Maximum Fine: \$5,000

Discipline:

Minimum: Revocation, stayed, 30 days' suspension, 5 years' probation
Maximum: Revocation

Conditions of Probation:

- 1) All "Standard Probation Conditions"
- 2) "Probation Conditions Specific to Violation" for consideration are: A, B, C, D, J, K, L, M, N, P

"Probation Conditions Specific to Violation" should be considered individually since some, or all, may **not** apply.

Refer to related statutes and/or regulation: BPC sections B&P Code 2264, 2633, 2660(a)[†](i)

BPC sec. 2630.3. PHYSICAL THERAPIST ASSISTANT LICENSE & SUPERVISION REQUIREMENTS B&P-2630.3

Citation:

Minimum Fine: \$100
 Maximum Fine: \$5,000

Discipline:

Minimum: Revocation, stayed, 30 days' suspension, 5 years' probation
 Maximum: Revocation

Conditions of Probation:

- 1) All "Standard Probation Conditions"
- 2) "Probation Conditions Specific to Violation" for consideration are: A, BJ, K, L, OP

"Probation Conditions Specific to Violation" should be considered individually since some, or all, may **not** apply.

Refer to related statutes and/or regulation: BPC sections B&P Code 2264, 2660(a)[†](j), 2622(a)(b), 2633.5; 16 CCR 1398.44

BPC sec. 2630.4. PHYSICAL THERAPY AIDE SUPERVISION REQUIREMENTS B&P 2630.4

Citation:

Minimum Fine: \$100
 Maximum Fine: \$5,000

Discipline:

Minimum: Revocation, stayed, 30 days' suspension, 5 years' probation
 Maximum: Revocation

Conditions of Probation:

[†]B&P Code 2660(a) authorizes the Physical Therapy Board of California to cite the Medical Practice Act.

- 1) All "Standard Probation Conditions"
- 2) "Probation Conditions Specific to Violation" for consideration are: A, BJ, K, L, M, N, OQ

"Probation Conditions Specific to Violation" should be considered individually since some, or all, may **not** apply.

Probation Conditions Specific to Violation" should be considered individually since some, or all, may **not** apply.

Refer to related statutes and regulation: BPC sections 2622(a)(c), 2660(j); 16 CCR 13991398.44

BPC sec. 2630.5. Persons Exempt from Licensure Requirements

Citation:

Minimum Fine: \$100
 Maximum Fine: \$5,000

Refer to related statutes and regulations: BPC sec. 900;

BPC sec. 2633. AUTHORIZED USE OF TITLE "P.T." AND "PHYSICAL THERAPIST" PERMITTED TITLES & AND OTHER DESIGNATIONS; DOCTORAL DEGREE B&P CODE 2633

Citation:

Minimum Fine: \$100
 Maximum Fine: \$5,000

Discipline:

Minimum: Revocation, stayed, 30 days' suspension, 5 years' probation
 Maximum: Revocation

Conditions of Probation:

- 1) All "Standard Probation Conditions"
- 2) "Probation Conditions Specific to Violation" for consideration are: A, B, N

"Probation Conditions Specific to Violation" should be considered individually since some, or all, may **not** apply.

Refer to related statute: BPC sec. 2630

BPC sec. 2633.5. AUTHORIZED USE OF TITLE "PHYSICAL THERAPIST ASSISTANT" & PROHIBITIONS OF USING AFFIXES B&P 2633.5

Citation:

Minimum Fine: \$100
Maximum Fine: \$5,000

Discipline:

Minimum: Revocation, stayed, 30 days' suspension, 5 years' probation
Maximum: Revocation

Conditions of Probation:

- 1) All "Standard Probation Conditions"
- 2) "Probation Conditions Specific to Violation" for consideration are: A, B, N

"Probation Conditions Specific to Violation" should be considered individually since some, or all, may **not** apply.

Refer to related statute: BPC sec. 2630.3(b)

BPC sec. 2633.7. AUTHORIZED USE OF TITLE BY "PHYSICAL THERAPIST STUDENT" OR "PHYSICAL THERAPIST ASSISTANT STUDENT" B&P 2633.7

Citation:

Minimum Fine: \$100
Maximum Fine: \$5,000

Discipline:

Minimum: Issue Initial Probationary License
Maximum: Deny Application

Conditions of Probation in Addition to Standard Conditions: NONE

Refer to related regulations: 16 CCR sections 1398.37, 1398.52

BPC sec. 2636.5. REQUIREMENTS FOR OBTAINING LICENSURE WITHOUT A WRITTEN EXAMINATION AND APPLICANT STATUS FOR A PHYSICAL THERAPIST OR PHYSICAL THERAPIST ASSISTANT B&P CODE 2636.5

Citation:

Minimum Fine: \$100
Maximum Fine: \$5,000

Discipline:

Minimum: Issue Initial Probationary License
Maximum: Deny Application

Conditions of Probation in Addition to Standard Conditions: NONE

Refer to related regulations: 16 CCR sections 1399.10, 1399.12

BPC sec. 2639. PHYSICAL THERAPIST & AND PHYSICAL THERAPIST ASSISTANT GRADUATE-LICENSE APPLICANT STATUS REQUIREMENTS B&P CODE 2639

Citation:

Minimum Fine: \$100
Maximum Fine: \$5,000

Discipline:

Minimum: Issue Initial Probationary License
Maximum: Deny Application

Conditions of Probation in Addition to Standard Conditions: NONE

Refer to related regulations: 16 CCR sections 1399.10, 1399.12

BPC sec. 2639.1. EQUIVALENT TRAINING REQUIREMENTS – PHYSICAL THERAPIST ASSISTANT B&P 2639.1

Discipline:

Minimum: Issue Initial Probationary License
Maximum: Deny Application

Conditions of Probation in Addition to Standard Conditions: NONE

Refer to related statute and regulation: BPC sec. 2635; 16 CCR 1398.47

BPC sec. 2644. EXPIRATION AND RENEWAL OF LICENSE, DISCLOSURE OF MISDEMEANOR OR CRIMINAL OFFENSE & AND CONTINUING COMPETENCY REQUIREMENTS – B&P CODE 2644

Citation:

Minimum Fine: \$100
Maximum Fine: \$5,000

Discipline:

Minimum: Public Reproval
Maximum: Revocation

Refer to related statute and regulation: BPC sec. B&P Code 2649; 16 CCR 1399.24(d)

RETIRED STATUS B&P CODE 2648.7

Citation:

Minimum Fine: \$100
Maximum Fine: \$5,000

Discipline:

Minimum: Public Repeval
Maximum: Revocation

**BPC sec. 2649. DEMONSTRATION OF CONTINUING COMPETENCY REQUIREMENT
B&P CODE 2649**

Citation:

Minimum Fine: \$100
Maximum Fine: \$5,000

Discipline:

Minimum: Public Repeval
Maximum: Revocation

Conditions of Probation in Addition to Standard Conditions: NONE

Refer to related statute and regulations: ~~BPC sec. B&P Code- 2644~~; 16 CCR sections 1399.91, 1399.92, 1399.93, 1399.94, 1399.97, 1399.98, 1399.99

**BPC sec. 2653. REQUIREMENTS OF GRADUATES FROM FOREIGN SCHOOLS B&P
CODE 2653**

Citation:

Minimum Fine: \$100
Maximum Fine: \$5,000

Discipline:

Minimum: Issue Initial Probationary License
Maximum: Deny Application

Conditions of Probation in Addition to Standard Conditions: NONE

Refer to related regulations: 16 CCR sections 1398.26, 1398.26.5

BPC sec. 2660(a). VIOLATION OF THE PHYSICAL THERAPY OR MEDICAL PRACTICE ACTS B&P 2660(a)

Citation:

Minimum Fine: \$100
Maximum Fine: \$5,000

Discipline:

A through EE. See specific to statute violated.

Note: ~~BPC sec. B&P Code 2660(a)~~ authorizes the Physical Therapy Board of California to cite violations of the Medical Practice Act; ~~Therefore, whenever the Board cites violations of the Medical Practice Act, the Board must also cite are cited BPC sec. B&P Code 2660(a) must also be cited.~~

BPC sec. 2660(b). ADVERTISING IN VIOLATION OF ~~BPC 17500 B&P CODE 2660(b)~~

Citation:

Minimum Fine: \$100
Maximum Fine \$5,000

Discipline:

Minimum: Public Reproval
Maximum: Public Reproval

Refer to related statutes and/or regulation: ~~BPC sections B&P Code 651, 2271, 17500;~~ 16 CCR 1398.10

BPC sec. 2660(c). PROCURING A LICENSE BY FRAUD OR MISREPRESENTATION ~~B&P CODE 2660(c)~~

Discipline: (Prior to Licensure)

Minimum: Issue Initial Probationary License
Maximum: Deny Application

Discipline: (After Licensure)

Revocation or cancellation is the only suitable discipline in as much as the license would not have been issued but for the fraud or misrepresentation.

Refer to related statutes: ~~BPC sections B&P Code 498, 499, 581, 582, 583, 2235, 2660(a)~~

BPC sec. 2660(d). PRACTICING OR OFFERING TO PRACTICE BEYOND SCOPE OF PRACTICE OF PHYSICAL THERAPY B&P CODE 2660(d)

Citation:

Minimum Fine: \$100
Maximum Fine: \$5,000

Discipline:

Minimum: Revocation, stayed, 30 days' suspension or until proficiency to practice safely is determined, 3 years' probation following return to practice.
Maximum: Revocation

Conditions of Probation:

- 1) All "Standard Probation Conditions"
- 2) "Probation Conditions Specific to Violation" for consideration are: A, B, C, D, E, I, J, K, L, M, N, O, P

"Probation Conditions Specific to Violation" should be considered individually since some, or all, may not apply.

Refer to related statutes: BPC sections B&P Code 2234(d), 2660(h)

BPC sec. 2660(e). CONVICTION OF A CRIME B&P CODE 2660(e)

Note: If the conviction relates to the use or abuse (i.e. possession, possession for sale, trafficking, etc.) of a controlled substance for DUI or related offenses, or if the conviction was attributed to the use of a controlled substance or alcohol (i.e. disorderly conduct) the conditions applying the "Uniform Standards Regarding Substance-Abusing Healing Arts Licensees" shall be imposed.

Refer to related statutes and/or regulation: BPC sections B&P Code 480, 490, 2236, 2237, 2238, 2239, 2660(a)¹, (f), 2661; 16 CCR 1399.24(c)(d)

BPC sec. 2660(f). UNLAWFUL POSSESSION OR USE OF, OR CONVICTION OF A CRIMINAL OFFENSE INVOLVING A CONTROLLED SUBSTANCE B&P CODE 2660(f)

Discipline:

Minimum: Revocation, stayed, suspension until the ability to practice safely is determined, participation in the rehabilitation program, 5 years' probation or until satisfactory completion of the rehabilitation program, whichever is longer.
Maximum: Revocation

Conditions of Probation:

¹B&P Code 2660(a) authorizes the Physical Therapy Board of California to cite the Medical Practice Act.

1) All "Standard Probation Conditions"

2) "Probation Conditions Specific to Violation" for consideration are: A, B, C, D, J, K, L, M, N, O, V, W, X, Y, Z, AA, BB, CC, DD, EE

The conditions applying the "Uniform Standards Regarding Substance-Abusing Healing Arts Licensees" shall be imposed.

Refer to related statutes: BPC sections ~~B&P Code~~ 480, 490, 2237, 2238, 2239, 2660(a)⁴

BPC sec. 2660(g). FAILURE TO MAINTAIN ADEQUATE & AND ACCURATE PATIENT RECORDS B&P CODE 2660(g)

Citation:

Minimum Fine: \$100
Maximum Fine: \$5,000

Discipline:

Minimum: Public Reproval
Maximum: Revocation

Conditions of Probation:

1) All "Standard Probation Conditions"

2) "Probation Conditions Specific to Violation" for consideration are: A, B, N, P

"Probation Conditions Specific to Violation" should be considered individually since some, or all, may not apply.

Refer to related statute and/or regulations: BPC sec. ~~B&P Code~~ 2620.7; 16 CCR sections 1398.13, 1398.44, 1399, 1399.10, 1399.12

BPC sec. 2660(h). GROSS NEGLIGENCE OR REPEATED ACTS OF NEGLIGENCE B&P CODE 2660(h)

Discipline:

Minimum: Revocation, stayed, 30 days' suspension or until proficiency to practice safely is determined, 3 years' probation following return to practice.
Maximum: Revocation

Conditions of Probation:

- 1) All "Standard Probation Conditions"
- 2) "Probation Conditions Specific to Violation" for consideration are: A, B, C, D, E, F, I, J, K, L, M, N, P

"Probation Conditions Specific to Violation" should be considered individually since some, or all, may **not** apply.

Refer to related statutes: BPC sec. ~~B&P Code 725, 2234(b), 2660(a)~~[‡]

BPC sec. 2660(i). AIDING OR ABETTING UNLAWFUL PRACTICE B&P CODE 2660 (i)

Citation:

Minimum Fine: \$100
 Maximum Fine: \$5,000

Discipline:

Minimum: Public Reproval
 Maximum: Revocation

Conditions of Probation:

- 1) All "Standard Probation Conditions"
- 2) "Probation Conditions Specific to Violation" for consideration are: A, B, C, F, J, K, L, M, N, O, P

Refer to related statutes: BPC sections 2630.3, 2630.4, 2630.5, 2639, 2653; 16 CCR sections 1398.26.5, 1398.37, 1398.44, 1399, 1399.10, 1399.12, ~~B&P Code 2264, 2660(a)~~[‡]

BPC sec. 2660(j). FRAUDULENT, DISHONEST OR CORRUPT ACT SUBSTANTIALLY RELATED B&P CODE 2660(j)

Citation:

Minimum Fine: \$100
 Maximum Fine: \$5,000

Discipline:

Minimum: Revocation, stayed, 5 days' suspension, 3 years' probation
 Maximum: Revocation

Conditions of Probation:

[‡] ~~B&P Code 2660(a) authorizes the Physical Therapy Board of California to cite the Medical Practice Act.~~

- 1) All "Standard Probation Conditions"
- 2) "Probation Conditions Specific to Violation" for consideration are: A, B, C, D, E, F, J, K, L, M, N, O, P

"Probation Conditions Specific to Violation" should be considered individually since some, or all, may **not** apply.

Refer to related statute: BPC sec. B&P Code 810

BPC sec. 2660(k). INFECTION CONTROL GUIDELINES B&P CODE 2660(k)

Citation:

Minimum Fine: \$100
Maximum Fine: \$5,000

Discipline:

Minimum: Public Reproval
Maximum: Revocation

Conditions of Probation:

- 1) All "Standard Probation Conditions"
- 2) "Probation Conditions Specific to Violation" for consideration are: A, B, C, E, I, J, N

"Probation Conditions Specific to Violation" should be considered individually since some, or all, may **not** apply.

BPC sec. 2660(l). VERBAL ABUSE OR SEXUAL HARASSMENT - B&P CODE 2660(l)

Citation:

Minimum Fine: \$100
Maximum Fine: \$5,000

Discipline:

Minimum: Public Reproval
Maximum: Revocation

Conditions of Probation:

- 1) All "Standard Probation Conditions"
- 2) "Probation Conditions Specific to Violation" for consideration are: A, B, C, J, N, O, P

"Probation Conditions Specific to Violation" should be considered individually since some, or all, may **not** apply.

BPC sec. 2660(m). SEXUAL MISCONDUCT OR VIOLATING B&P CODE
BPC section 726 B&P CODE 2660 (m)

Note: Pursuant to 16 CCR 1399.15, any findings the licensee committed a sex offense or been convicted of a sex offense, the order shall revoke the license. The proposed decision shall not contain an order staying the revocation of the license.

Refer to related statutes and/or regulation: BPC sections B&P Code 726, 2660.1; 16 CCR 1399.15(b)

BPC sec. 2660(n). PERMITTING PHYSICAL THERAPIST ASSISTANT AND/OR AIDE TO PERFORM, HOLD HIMSELF/HERSELF THEMSELVES OUT AS COMPETENT TO PERFORM BEYOND LEVEL OF EDUCATION, TRAINING AND EXPERIENCE OF P.T.A. AND/OR AIDE
B&P CODE 2660 (n)

Citation:

Minimum Fine: \$100
Maximum Fine: \$5,000

Discipline:

Minimum: Public Reprimand
Maximum: Revocation

Refer to related statutes: BPC sec. B&P Code 2264, 2660(a)⁺, (i)(j)

BPC sec. 2660(o). ADVERSE ACTION TAKEN BY ANOTHER STATE OR AGENCY OF THE FEDERAL GOVERNMENT
B&P CODE 2660 (o)

Citation:

Minimum Fine: \$100
Maximum Fine: \$5,000

Discipline:

If violation is an offense in California, refer to corresponding statute. If not, the Board shall consider the discipline order from the state, federal government, or country of discipline when determining disciplinary action.

Refer to related statute and regulation: BPC sec. B&P Code 141; 16 CCR 1399.24(c)(d)

BPC sec. 2660(p). INAPPROPRIATE VIEWING OF DISROBED PATIENT IF UNNECESSARY TO PATIENT EVALUATION OR TREATMENT
B&P CODE 2660 (p)

Discipline:

Minimum: Public Reprimand
Maximum: Revocation

“Probation Conditions Specific to Violation” for consideration are: A, B, C, D, G, J, N, O, P

Note: This is a variation of inappropriate treatments. Consider conditions of 726.

Refer to related statutes: BPC sections B&P Code 726, 2660(m), 2660.1

BPC sec. 2660(q). ENGAGING IN ACTS IN VIOLATION OF BPC SECTIONS 650, 651, OR 654.2 B&P CODE 2660 (q)

Refer to related statute and/or regulation: BPC sections B&P Code 650, 651, & 654.2

BPC sec. 2660(r). CHARGING FEES FOR SERVICES NOT PROVIDED B&P CODE 2660 (r)

Citation:

Minimum Fine: \$100
Maximum Fine: \$5,000

Discipline:

Minimum: Public Reproval
Maximum: Revocation

Refer to related statutes: BPC sec. B&P Code 2261, 2262, 2660(s)

BPC sec. 2660(s). MISREPRESENTING DOCUMENTATION AND/OR FALSIFYING OF PATIENT RECORDS B&P CODE 2660 (s)

Citation:

Minimum Fine: \$100
Maximum Fine: \$5,000

Discipline:

Minimum: Revocation, stayed, 30 days' suspension, 3 years' probation
Maximum: Revocation

Conditions of Probation:

- 1) All “Standard Probation Conditions”
- 2) “Probation Conditions Specific to Violation” for consideration are: A, B, C, D, E, F, J, N, P

“Probation Conditions Specific to Violation” should be considered individually since some, or all, may **not** apply.

Refer to related statutes: BPC sec. B&P Code 2261, 2262, 2660(a), (r)

BPC sec. 2660(t). EMPLOYMENT OF RUNNERS, CAPPERS, STEERERS, OR OTHER PERSONS B&P CODE 2660 (t)

Citation:

Minimum Fine: \$100
Maximum Fine: \$5,000

Discipline:

Minimum: Revocation, stayed, 30 days' suspension, 3 years' probation
Maximum: Revocation

Conditions of Probation:

- 1) All "Standard Probation Conditions"
- 2) "Probation Conditions Specific to Violation" for consideration are: F, P

"Probation Conditions Specific to Violation" should be considered individually since some, or all, may **not** apply.

Refer to related statute: BPC sec. B&P Code 650-2273, 2660(a)¹

BPC sec. 2660(u). THE WILLFUL, UNAUTHORIZED VIOLATION OF PROFESSIONAL CONFIDENCE B&P CODE 2660 (u)

Citation:

Minimum Fine: \$100
Maximum Fine: \$5,000

Discipline:

Minimum: Revocation, stayed, 30 days' suspension, 3 years' probation
Maximum: Revocation

Conditions of Probation:

- 1) All "Standard Probation Conditions"
- 2) "Probation Conditions Specific to Violation" for consideration are: OP (course should include HIPPA requirements)

"Probation Conditions Specific to Violation" should be considered individually since some, or all, may **not** apply.

Refer to related statute: B&P Code 2263, 2660(a)¹

¹ B&P Code 2660(a) authorizes the Physical Therapy Board of California to cite the Medical Practice Act.

BPC sec. 2660(v). FAILING TO MAINTAIN PATIENT CONFIDENTIALITY B&P CODE 2660 (v)

Citation:

Minimum Fine: \$100
Maximum Fine: \$5,000

Discipline:

Minimum: Public Reproval
Maximum: Revocation

“Probation Conditions Specific to Violation” for consideration are: ON, P (course should include HIPPA requirements)

BPC sec. 2660(w). HABITUAL INTEMPERANCE B&P CODE 2660 (w)

The conditions applying the “Uniform Standards Regarding Substance-Abusing Healing Arts Licensees” shall be imposed.

Refer to related statute: ~~B&P Code 2239, 2660(a)~~⁴

BPC sec. 2660(x). FAILURE TO COMPLY WITH THE PROVISIONS OF BPC SECTION 2620.1 B&P CODE 2660(x)

Citation:

Minimum Fine: \$100
Maximum Fine: \$5,000

Discipline:

Minimum: Public Reproval
Maximum: Revocation

BPC sec. 2660.1. PRESUMPTION REGARDING INCAPABILITY TO CONSENT TO SEXUAL ACTIVITY B&P CODE 2660.1

Note: Pursuant to 16 CCR sec.1399.15(b), any findings the licensee committed a sex offense or been convicted of a sex offense, the order shall revoke the license. The proposed decision shall not contain an order staying the revocation of the license.

Refer to related statutes and/or regulation: BPC sections~~B&P Code 726, 2660(m); 2660(p); 16 CCR 1399.15(b)~~

⁴ B&P Code 2660(a) authorizes the Physical Therapy Board of California to cite the Medical Practice Act.

BPC sec. 2660.2. ISSUANCE OF PROBATIONARY LICENSE APPLICANTS

Refer to related statutes and/or regulation: BPC sec. 2661

BPC sec. 2660.4. FAILURE OR REFUSAL TO PROVIDE MEDICAL RECORDS B&P CODE 2660.4

Citation:

Minimum Fine: \$100
Maximum Fine: \$5,000

Discipline:

Maximum: Public Reproval

A licensee who fails or refuses to comply with a request from the Board for the medical records of patient, that is accompanied by that patient's written authorization for release of records to the Board, within 15 days of receiving the request and authorization, shall pay the Board a civil penalty of one thousand dollars (\$1,000.00), per day, for each day that the records have not been produced after the 15th day, unless the licensee is unable to provide records within this time period for good cause.

Refer to related regulations: 16 CCR. 1399.24(b)(c)(e)

BPC sec. 2660.5. DENIAL OF LICENSE IN SPECIFIED CIRCUMSTANCES - REGISTERED SEX OFFENDER (APPLICANT) B&P 2660.5

Discipline: Denial of License

BPC sec. 2660.7. SUBVERSION OF EXAMINATION B&P 2660.7

Refer to related statutes for appropriate penalties: BPC sections ~~B&P Code 123, 584, 2288, 2660 (a)¹, (i)(kj)~~

BPC sec. 2661. CONVICTION OF CRIME B&P CODE 2661

A plea or verdict of guilty or a conviction following a plea of nolo contendere is deemed to be a conviction within the meaning of this article. A conviction demonstrates a lack of judgment and unwillingness to obey a legal prohibition, and also exhibits characteristics and actions that do not demonstrate that he or she exercises prudence and good judgment. Therefore, the conviction is substantially related to the qualifications, functions and duties as a licensee.

Note: BPC sec. B&P Code 2661 should be cited in conjunction with a conviction violation, since it defines the conviction being of substantial relationship to the qualifications, functions and duties, and should not stand alone as its own cause.

¹ ~~B&P Code 2660(a) authorizes the Physical Therapy Board of California to cite the Medical Practice Act.~~

Refer to related statutes and/or regulations: BPC sec. B&P Code 490, 2236, 2660, 2660(e), 2660.2; 16 CCR sections 1399.23, 1399.24(c)(d)

BPC sec. 2691. PHYSICAL THERAPY CORPORATION B&P CODE 2691

Citation:

Minimum Fine: \$100

Maximum Fine: \$5,000

Discipline:

Minimum: Public Reproval

Maximum: Revocation

Conditions of Probation in Addition to Standard Conditions: NONE

Refer to related statute and regulations: BPC sec. B&P Code 2660(e) 2286 and 16 CCR sections 1399.35, 1399.37, 1399.39. Note: If a licensee violates this section it would be a criminal offense; therefore, also see B&P Code 2236

BPC sec. 2692. UNPROFESSIONAL CONDUCT- CORPORATION B&P CODE 2692

Citation:

Minimum Fine: \$100

Maximum Fine: \$5,000

Discipline:

Minimum: Public Reproval

Maximum: Revocation

BPC sec. 2693. CORPORATION NAME

Citation:

Minimum Fine: \$100

Maximum Fine: \$5,000

Discipline:

Minimum: Public Reproval

Maximum: Revocation

Refer to related statute and regulations: BPC sec. 2660(e) and 16 CCR sections 1399.35, 1399.37, 1399.39.

BPC sec. 2694. DIRECTORS AND OFFICERS

Citation:

Minimum Fine: \$100

Maximum Fine: \$5,000

Discipline:

Minimum: Public Reproval

Maximum: Revocation

Refer to related statute and regulations: BPC sec. 2660(e) and 16 CCR sections 1399.35, 1399.37, 1399.39.

VIOLATION OF PROBATION

Discipline:

Implementation of Original Stayed Order.

NOTE: The maximum penalty should be given for repeated similar offenses or for probation violations revealing a cavalier or recalcitrant attitude.

BPC sec.17500. FALSE ADVERTISING

Refer to statutes and regulations for appropriate penalties: BPC sections 651, 2660(b)(q); 16 CCR 1398.10

TITLE 16, CALIFORNIA CODE OF REGULATIONS

16 CCR 1398.6. FILING OF ADDRESSES, EMAIL ADDRESSES & AND NAME CHANGE CCR-1398.6

Refer to statutes for appropriate penalties: BPC sections B&P Code 136, 2660

16 CCR 1398.10. ADVERTISING CCR-1398.10

Refer to related statute(s) for appropriate penalties: BPC sections B&P Code 651, 2271, 2660(b)(a)¹ H&S Code sec. 17500

16 CCR 1398.11. PHYSICAL THERAPY AIDE, APPLICANT, STUDENT AND INTERN IDENTIFICATION CCR-1398.11

¹ B&P Code 2660(a) authorizes the Physical Therapy Board of California to cite the Medical Practice Act.

Refer to related statute(s) for appropriate penalties: BPC sec.B&P Code 680

16 CCR 1398.13. PATIENT RECORD DOCUMENTATION REQUIREMENT
CCR 1398.13

Refer to related statute(s) for appropriate penalties: BPC sec.B&P Code 2620.7

16 CCR 1398.15. NOTICE TO CONSUMERS (FORM NTC 12-01)
CCR 1398.15

Citation:

Minimum Fine: \$100
Maximum Fine: \$5,000

Discipline:

Minimum: Public Reproval
Maximum: Public Reproval

Refer to related statute: BPC sec.B&P Code 2660

16 CCR 1398.26. APPLICATIONS OF FOREIGN EDUCATED GRADUATES
CCR 1398.26

Refer to related statute(s) for appropriate penalties: BPC sec.B&P Code 2653

16 CCR 1398.26.5. CLINICAL SERVICE REQUIREMENTS FOR FOREIGN EDUCATED
APPLICANTS CCR 1398.26.5

Refer to related statute(s) for appropriate penalties: BPC sec.B&P Code 2653

16 CCR 1398.37. IDENTIFICATION AND SUPERVISION OF PHYSICAL THERAPIST
STUDENTS AND INTERNS DEFINED CCR 1398.37

Refer to related statute(s) for appropriate penalties: BPC sec.B&P Code 2633.7

16 CCR 1398.44. SUPERVISION OF PHYSICAL THERAPIST ASSISTANTS
CCR 1398.44

Refer to statute(s) for appropriate penalties: BPC sections B&P Code 2660(a), 2622,
2630.3

16 CCR 1398.52. IDENTIFICATION AND SUPERVISION OF PHYSICAL THERAPIST
ASSISTANT STUDENTS AND INTERNS DEFINED CCR 1398.52

Refer to related statute(s) for appropriate penalties: BPC sec.B&P Code 2630.5 2633.7

16 CCR 1399. REQUIREMENTS FOR USE OF AIDES CCR 1399

Refer to related statute(s) for appropriate penalties: BPC sec. B&P Code 2264, 2630, 2630.42660(a)

16 CCR 1399.10. SUPERVISION OF PHYSICAL THERAPISTS LICENSE APPLICANTS CCR 1399.10

Citation:

Minimum Fine: \$100
Maximum Fine: \$5,000

Discipline:

Minimum: Revocation, stayed, 5 days' suspension, 3 years' probation
Maximum: Revocation

Conditions of Probation:

- 1) All "Standard Probation Conditions"
- 2) "Probation Conditions Specific to Violation" for consideration are: A, B, J, K, L, M, N, O, P
"Probation Conditions Specific to Violation" should be considered individually since some, or all, may not apply.

Refer to related statute(s) for appropriate penalties: BPC sections B&P Code 2636.5, 2639

16 CCR 1399.12. SUPERVISION OF PHYSICAL THERAPIST ASSISTANT LICENSE APPLICANTS CCR 1399.12

Citation:

Minimum Fine: \$100
Maximum Fine: \$5,000

Discipline:

Minimum: Revocation, stayed, 5 days' suspension, 3 years' probation
Maximum: Revocation

Conditions of Probation:

- 1) All "Standard Probation Conditions"
- 2) "Probation Conditions Specific to Violation" for consideration are: A, B, J, K, L, M, N, P

"Probation Conditions Specific to Violation" should be considered individually since some, or all, may not apply.

Refer to related statute(s): BPC sections B&P Code 2636.5, 2639

16 CCR 1399.15(b). DISCIPLINARY GUIDELINES – SEX OFFENSE

Discipline:

Revocation or Denial of License

Refer to related statutes for appropriate penalties: BPC sections ~~B&P Code 726, 2660(m), 2660.1~~

16 CCR 1399.23. REQUIRED ACTIONS AGAINST REGISTERED SEX OFFENDERS
CCR 1399.23

Discipline:

Revocation or Denial of License

Refer to related statute(s) and regulation for appropriate penalties: BPC sections ~~B&P Code 480, 726, 2660.1, 2660.2, 2660.5, 2608, 2660.2, 2661;~~ 16 CCR sec. 1399.15(b)

16 CCR 1399.24(a). PROHIBITING ANOTHER PARTY FROM COOPERATING WITH OR DISPUTING A COMPLAINT
CCR 1399.24(a)

Citation:

Minimum Fine: \$100
Maximum Fine: \$5,000

Discipline:

Minimum: Public Reproval
Maximum: Revocation

Conditions of Probation: Standard Conditions Only

16 CCR 1399.24(b). FAILURE TO PROVIDE CERTIFIED DOCUMENTS
CCR 1399.24(b)

Refer to related statute for appropriate penalties: BPC sec. ~~B&P Code 2608.5~~

16 CCR 1399.24(c). FAILURE TO COOPERATE IN BOARD INVESTIGATION
1399.24(c)

Citation:

Minimum Fine: \$100
Maximum Fine: \$5,000

Discipline:

Minimum: Public Reproval
Maximum: Revocation

Standard Conditions Only

16 CCR 1399.24(d). FAILURE TO REPORT TO BOARD CRIMINAL OR DISCIPLINARY INFORMATION CCR 1399.24(d)

Refer to related statutes for appropriate penalties: BPC sections B&P Code 141, 490, 2660(e), 2661, 2660(e)

16 CCR 1399.24(e). FAILURE TO COMPLY WITH SUBPOENA ORDER CCR 1399.24(e)

Citation:

Minimum Fine: \$100
Maximum Fine: \$5,000

Discipline:

Minimum: Public Reproval
Maximum: Revocation

Standard Conditions Only

Refer to related statute: BPC sec. 2660

16 CCR 1399.35. REQUIREMENTS FOR PROFESSIONAL CORPORATIONS

Refer to related statute for appropriate penalties: BPC sections 2690, 2691, 2694

16 CCR 1399.37. SHARES: OWNERSHIP AND TRANSFER

Refer to related statute for appropriate penalties: BPC sec. 2696

16 CCR 1399.39. CORPORATE ACTIVITIES

Refer to related statute for appropriate penalties: BPC sec. 2696

16 CCR 1399.61. CERTIFICATION REQUIRED - ELECTROMYOGRAPHY CCR 1399.61

Refer to related statute(s) for appropriate penalties: BPC sec. 2620.5

16 CCR 1399.77. ADMINISTRATION OF MEDICATIONS CCR-1399.77

Refer to related statute(s) and regulations for appropriate penalties and related regulations: BPC sec. B&P Code 2620.3; 16 CCR sections 1399.78, 1399.79

16 CCR 1399.78. AUTHORIZATION AND PROTOCOLS REQUIRED FOR TOPICAL MEDICATIONS CCR-1399.78

Refer to related statute(s) and regulations for appropriate penalties and related regulations: BPC sec. B&P Code 2620.3; 16 CCR sections 1399.77, 1399.79

16 CCR 1399.79. AUTHORIZED TOPICAL MEDICATIONS CCR-1399.79

Refer to related statute(s) and regulations for appropriate penalties and related regulations: BPC sec. B&P Code 2620.3; 16 CCR sections 1399.77, 1399.78

16 CCR 1399.91. CONTINUING COMPETENCY REQUIRED CCR-1399.91

Refer to related statute(s) for appropriate penalties: BPC sections B&P Code 2649, 2644, 2649

16 CCR 1399.92. CONTENT STANDARDS FOR CONTINUING COMPETENCY

Refer to related statute(s) for appropriate penalties: BPC sections B&P Code 2649, 2644, 2649

16 CCR 1399.93. CONTINUING COMPETENCY SUBJECT MATTER REQUIREMENTS AND OTHER LIMITATIONS CCR-1399.93

Refer to related statute(s) for appropriate penalties: BPC sections B&P Code 2649, 2644, 2649

16 CCR 1399.94. AUTHORIZED PATHWAYS FOR OBTAINING CONTINUING COMPETENCY HOURS CCR-1399.94

Refer to related statute(s) for appropriate penalties: BPC sections B&P Code 2649, 2644, 2649

16 CCR 1399.95. STANDARDS FOR APPROVED AGENCIES CCR-1399.95

Citation:

Minimum Fine: \$100
Maximum Fine: \$5,000

Maximum: Revocation of recognition as an approved agency

Refer to related statute: BPC sec. B&P Code 2649

16 CCR 1399.96. STANDARDS FOR APPROVED PROVIDERS CCR-1399.96

Citation:

Minimum Fine: \$100
Maximum Fine: \$5,000

Maximum: Revocation of recognition as an approved provider

Refer to related statute: BPC sec.B&P Code 2649,

**16 CCR 1399.97. RECORD KEEPING (CONTINUING COMPETENCY)
CCR-1399.97**

Citation:

Minimum Fine: \$100
Maximum Fine: \$5,000

Discipline:

Minimum: Public Reproval
Maximum: Revocation

Refer to related statute(s) for appropriate penalties: BPC sectionsB&P Code 2649, 2644, 2649

**16 CCR 1399.98. INACTIVE STATUS (CONTINUING COMPETENCY)
CCR-1399.98**

Citation:

Minimum Fine: \$100
Maximum Fine: \$5,000

Discipline:

Minimum: Public Reproval
Maximum: Revocation

Refer to related statute(s) for appropriate penalties: BPC sectionsB&P Code 2649, 2644, 2649

**16 CCR 1399.99. EXEMPTION FROM CONTINUING COMPETENCY REQUIREMENTS
CCR-1399.99**

Citation:

Minimum Fine: \$100
Maximum Fine: \$5,000

Discipline:

Minimum: Public Reproval
Maximum: Revocation

Refer to related statute(s) for appropriate penalties: BPC sections B&P Code 2649, 2644, 2649

**SPONSORING ENTITY REGISTRATION AND RECORDKEEPING REQUIREMENTS
CCR 1399.99.2**

Refer to statute for appropriate penalties: B&P Code 901

**OUT OF STATE PRACTITIONER AUTHORIZATION TO PARTICIPATE IN
SPONSORED EVENT CCR 1399.99.3**

Refer to statute(s) for appropriate penalties: B&P Code 901

**TERMINATION OF AUTHORIZATION AND APPEAL (OUT OF STATE
PRACTITIONER'S AUTHORIZATION) CCR 1399.99.4**

Refer to statute for appropriate penalties B&P Code 901

HEALTH AND SAFETY CODE

**HSC sec. 123110. PATIENT'S ACCESS TO HEALTH CARE RECORDS H&S CODE
123110**

Citation:

Minimum Fine: \$100
Maximum Fine: \$5,000

Discipline:

Minimum: ~~Revocation, stayed, 5 days suspension, 3 years probation~~ Public
Reproval
Maximum: Revocation

Conditions of Probation in Addition to Standard Conditions: NONE

PROBATION CONDITIONS

Probation conditions are divided into three categories: 1) Standard Conditions the Board expects in all probation cases; 2) Specific Conditions that depend on the nature and circumstances of the particular case; and 3) Conditions Specific to Alcohol and/or Controlled Substance.

STANDARD PROBATION CONDITIONS

The standard conditions generally appearing in every probation case are as follows:

1. License Revocation, Stayed

Respondent's license shall be revoked, with the revocation stayed.

2. License Suspension

Respondent's license shall be suspended for [insert specific number of days, months]. See specific violation for recommended time of suspension.

3. Cost Recovery

~~Respondent is ordered to reimburse the Board the actual and reasonable investigative and prosecutorial costs incurred by the Board in the amount of \$ _____. Said costs shall be reduced, however, and the remainder forgiven, if Respondent pays _____% of said costs, or \$ _____, within thirty (30) days of the effective date of this Decision and Order. In the even Respondent fails to pay within thirty (30) days of the Decision, the full amount of costs shall be immediately due and payable.~~

Respondent is ordered to reimburse the Board the actual and reasonable investigative and prosecutorial costs incurred by the Board in the amount of \$ _____, within _____ days of the effective date of this Decision and Order. The Board or its designee may establish a payment plan for cost recovery; however, Respondent shall pay the full amount of cost recovery at least 180 days prior to completion of probation. If Respondent has not paid the full amount of cost recovery prior to completion of probation, probation shall remain in effect until cost recovery is paid in full.

Failure to pay the ordered reimbursement, or comply with any agreed upon payment plan established by the Board, or its designee, constitutes a violation of the probation order and may result in revocation of Respondent's license. The Board shall not renew or reinstate the license of any Respondent who has failed to pay costs as ordered in the Decision and Order. If Respondent is in default of his~~their~~ responsibility to reimburse the Board, the Board will collect cost recovery ~~from~~through the Franchise Tax Board, the Internal Revenue Service, or by any other means of attachment of earned wages legally available to the Board.

Note: Costs represent the actual investigative and prosecutorial costs.

4. Obey All Laws

Respondent shall obey all federal, state and local laws, the statutes and regulations governing the practice of physical therapy, and remain in full compliance with any court ordered ~~criminal~~ probation. This condition applies to any jurisdiction with authority over Respondent, ~~whether it is inside or outside of California.~~

Further, Respondent shall, within five (5) days ~~of~~after any arrest, submit to the Board, in writing, a full and detailed account of such arrest to include the name and address of the arresting agency.

5. Compliance with Orders of a Court

Respondent shall ~~be in compliance~~ with any valid order of a court. Being found in contempt of any court order is a violation of probation.

6. Compliance with Criminal Probation and Payment of Restitution

Respondent shall not violate any terms or conditions of criminal probation and shall ~~be in compliance~~ with any restitution ordered, payments, or other orders.

7. Quarterly Reports

Respondent shall submit quarterly reports, under penalty of perjury, on forms provided by the Board, stating whether there has been compliance with all conditions of probation.

8. Probation Monitoring Program Compliance

Respondent shall comply with the Board's probation monitoring program.

9. Interview with the Board's Probation Monitor or its Designee

Respondent shall appear in person or in a manner designated by the Board for interviews with the Board's Probation Monitor, or its designee, upon request at various intervals.

10. Notification of Probationer Status to Employers

Respondent shall notify all present and future employers (~~during the period of probation~~) of the reason for and the terms and conditions of the probation.

Prior to engaging in the practice of physical therapy, Respondent shall provide a true copy of the Initial Probationary License Decision and Order, Statement of Issues or Accusation, Decision and Order, or Stipulated Decision and Order, as appropriate, to ~~his or her~~the Respondent's employer, supervisor, or contractor, or prospective employer or contractor, and at any other facility where Respondent engages in the practice of physical therapy before accepting or continuing employment.

Respondent shall provide the Board's ~~Probation~~ Monitor the names, physical addresses, mailing addresses, and telephone numbers of all employers and supervisors, or contractors, and shall inform the ~~Probation~~ Monitor, in writing, of the facility or facilities at which Respondent will be engaging in the practice of physical therapy for purposes of allowing the

Board's Probation Monitor to communicate with the employer, supervisor, or contractor regarding Respondent's work status, performance and monitoring.

~~The information, will be provided~~ Respondent shall provide this information, in writing, to the Board's Probation Monitor within ten (10) calendar days from the effective date of this Decision and Order, and within ten (10) calendar days prior to new employment, or change of new employer, supervisor or contractor, and will include the Respondent's employer's written employer confirmation of receipt.

11. Notification of Name, Address or E-mail Address Change

Respondent shall notify the Board, in writing, of any and all name, address, and/or e-mail address changes within ten (10) days of the change.

12. Restriction of Practice - Temporary Services Agencies

Respondent shall not work for a temporary services agency or registry.

OR:

NOTE: If Respondent's restrictions are limited to a certain number of registries and/or temporary service agencies:

Respondent's work for a temporary services agency or registry shall be limited as follows:

- 1) Respondent shall be limited to work for (indicate # of temporary services or registries) temporary service agency or registry.
- 2) This work must be approved by the Board's Probation Monitor.
- 3) Respondent must disclose this disciplinary proceeding, as described above in Condition # [~~includes~~ appropriate term # used for Notification of Probationer Status to Employers], to the temporary services agency or registry.
- 4) Respondent must disclose this disciplinary proceeding, as described above in Condition # [~~includes~~ appropriate term # used for Notification of Probationer Status to Employers], to the supervisor at the facility where physical therapy care is being performed.
- 5) Respondent must notify ~~his or her~~ the Board's Probation Monitor or Board's designee, in writing, of any change in registry or temporary services agency.
- 6) Respondent must have written approval by the Board's Probation Monitor prior to commencing work at a new registry or temporary services agency.

13. Restriction of Practice - Clinical Instructor of Physical Therapy Student Interns or Foreign Educated Physical Therapist License Applicants Prohibited

Respondent shall not supervise any physical therapy student interns, foreign educated physical therapist license applicants, or other individuals accumulating hours or experience in a learning capacity in physical therapy during the entire period of probation. Respondent shall terminate any such supervisory relationship in existence on the effective date of the Decision and Order.

14. Prohibited Use of Aliases

Respondent shall not use aliases and shall be prohibited from using any name which is not his or her legally-recognized name or based upon a legal change of name.

15. Intermittent Work

If Respondent works practices or performs (as a paid employee or as a volunteer) less than 192 hours as a physical therapist or a physical therapist assistant in the physical therapy profession in a period of three (3) consecutive months, those months shall not be counted toward satisfaction of the probationary period. Respondent is required to immediately notify the Board's Probation Monitor, or his or her designee, if he or she the Respondent works practices or performs less than 192 hours in a three-month period. A Board-ordered suspension of practice shall not be credited toward completion of probation. Respondent's period of non-practice while on probation shall not be credited toward completion of probation.

If Respondent resides in California and is considered to be in non-practice, Respondent shall comply with all terms and conditions of probation. All financial obligations within this Decision and Order shall remain in effect whether or not Respondent is in practice or non-practice.

Non-practice is defined as any period of time Respondent is not practicing physical therapy, as defined in BPC sec.-2620, for at least 192 hours in a period of three (3) consecutive months in direct patient care, clinical activity or teaching, or other activity as approved by the Board.

16. Tolling of Probation

The period of probation shall run only during the time Respondent is practicing or performing physical therapy, within California, as a paid employee or as a volunteer. Practicing in another jurisdiction shall not be credited toward completion of probation. A Board-ordered suspension of practice shall not be credited toward completion of probation. All financial obligations within this Decision and Order shall remain in effect whether or not probation is tolled.

If, during probation, Respondent ~~does not~~ ceases to practice or perform physical therapy within California, Respondent is required to immediately notify the Board's Probation Monitor, in writing, of the date Respondent ceases is practicing or performing physical therapy out of state, within California, and report the date of expected return, if any. If Respondent begins practicing or performing physical therapy, by Respondent in California, prior to notification to the Board's Probation Monitor, or designee of Respondent's return, the time prior to notification will not be credited toward completion of Respondent's probationary period. Any order for payment of cost recovery shall remain in effect whether or not probation is tolled.

17. Maintenance of Valid License

While on probation, Respondent shall maintain an active, current license with the Board, including any period during which their license is suspended or probation is tolled.

178. Violation of Probation

Failure to fully comply with any component of any of the probationary terms and conditions is a violation of probation.

If Respondent violates probation in any respect, the Board, after giving Respondent notice and the opportunity to be heard, may revoke probation and carry out the disciplinary order that was stayed. If an accusation or petition to revoke probation is filed against Respondent during probation, the Board shall have continuing jurisdiction until the matter is final, and the period of probation shall be extended until the matter is final.

189. Request to Surrender License Due to Retirement, Health or Other Reasons

Following the effective date of the Decision and Order, if Respondent ceases practicing or performing physical therapy due to retirement, health or other reasons or is otherwise unable to satisfy the terms and conditions of probation, Respondent may request, in writing, to surrender his or her Respondent's license to the Board. The Board reserves the right to evaluate Respondent's request and to exercise its discretion whether to grant the request, or to take any other action deemed appropriate and reasonable under the circumstances.

Upon formal acceptance of the surrender by the Board, Respondent shall no longer practice physical therapy, in California, and shall within 15 calendar days deliver Respondent's wallet license and wall certificate to the Board or its designee. Respondent will no longer be subject to of the tendered license, the terms and conditions of probation, shall be tolled until such time as (1) the license is no longer renewable; (2) Respondent makes application for the renewal of the tendered license; or (3) If Respondent re-applies or makes

application for a new license, the application shall be treated as a petition for reinstatement of a revoked license.

1920. Completion of Probation

Respondent shall comply with all financial obligations required by this Order (e.g., cost recovery, restitution, probation costs) not later than 180 calendar days prior to completion of probation unless otherwise specified in this Decision and Order. Upon successful completion of probation, Respondent's license shall be fully restored.

201. California Law Examination - Written Exam on the Laws and Regulations Governing the Practice or Performance of Physical Therapy

Within ninety (90) days of the effective date of this Decision and Order, Respondent shall take and pass the Board's written examination on the laws and regulations governing the practice of physical therapy in California. If Respondent fails to pass the examination, Respondent shall not be suspended from the practice of physical therapy until a repeat the examination has been successfully passed and Respondent has been so notified by the Board, or its designee, in writing. Respondent shall pay the costs of all examinations. Passing of the examination shall not satisfy the Continuing Competency requirements pursuant to BPC sec. B&P Code 2676 2649, as a condition for renewal of license.

242. Practice or Performance of Physical Therapy While on Probation

~~The Board has determined that it is not contrary to the public interest for Respondent to practice and/or perform physical therapy under the probationary conditions specified in the disciplinary this Decision and eOrder.~~

~~Accordingly, it is not the intent of the Board that this order, the fact that Respondent has been disciplined, or Respondent is on probation, shall be used as the sole basis for any third party payer to remove Respondent from any list of approved providers.~~

This Decision and Order should not be used as the basis for any third-party payer to remove Respondent from any list of approved providers.

223. Probation Monitoring Costs

Respondent shall reimburse all costs incurred by the Board for probation monitoring during the entire period of probation. Respondent will be billed at least quarterly. Such costs shall be made payable to the "Physical Therapy Board of California" and sent directly to the ~~Physical Therapy Board of California~~. Failure to make an ordered reimbursement within sixty (60) days of the billing shall constitute a violation of the probation order.

Respondent's license shall not be renewed or reinstated if Respondent has failed to pay all of the probation monitoring costs as ordered in this Decision and Order.

PROBATION CONDITIONS SPECIFIC TO VIOLATION

The following conditions lettered A through S are specific to the violation, and should be used depending on the nature and circumstances of the particular case.

A. Restriction of Practice - Presence of Supervising Physical Therapist

Note: The purpose of this condition is to allow Respondent (physical therapist or physical therapist assistant) to practice with a level of supervision which ensures Respondent is in compliance with the Physical Therapy Practice Act, as well as the probationary conditions. If Respondent works with another physical therapist that is eligible and available to function as Respondent's supervisor, the "Supervision Required" (Condition A) should be used in lieu of Condition B. Condition A is commonly used for, but not limited to: sexual misconduct, aiding and abetting, documentation cases, behavioral issues and where Respondent is not a sole proprietor. This condition should never be used in conjunction with "B" "Practice Monitor Required".

The following probation condition shall be operative when Respondent works as a physical therapist in a practice where Respondent does not hold a vested interest (i.e. as an officer, partner or shareholder).

Choose level of supervision:

1) Full Presence/Documentation Review

The level of supervision shall be direct and require 4) the physical presence of the supervising physical therapist while physical therapy is performed by Respondent; and may require, 2) the supervisor to review patient records and any pertinent documents necessary to determine Respondent's compliance with Respondent's this Decision and Order. Direct supervision and physical presence means, the supervising physical therapist shall be near or close enough to observe or hear the Respondent. While this may not require sharing the same treatment room with Respondent, it does require the supervising physical therapist to be within an audible range that ~~would~~ ensure the immediate presence of the supervising physical therapist.

2) Limited Presence/Documentation Review

The level of supervision shall not require the physical presence of the supervising physical therapist at all times while physical therapy is performed by Respondent. However, the supervising physical therapist shall physically observe Respondent shall be physically observed at least once per shift, and may be required to review of patient records and any pertinent documents necessary to determine Respondent's compliance with Respondent's this Decision and Order, at least once during Respondent's shift by the supervising physical therapist.

3) No Presence/Documentation Review As Determined

The level of supervision shall not require the physical presence of the supervising physical therapist while physical therapy is performed by Respondent; however, it will require the supervisor to review the patient records and any pertinent documents necessary to determine Respondent's compliance with Respondent's this Decision and Order on a time base as agreed upon by the Board.

Within fifteen (15) business days of the effective date of this Decision and Order, Respondent shall submit to the Board, in writing for its prior approval, the name and qualifications of one or more proposed supervisor(s) and an outline of the plan by which Respondent's practice will be supervised. Respondent shall not practice physical therapy until receiving written approval from the Board, or its designee regarding Respondent's choice of a supervisor.

Each proposed supervisor shall hold a valid California physical therapist license and shall have been licensed, in California, for at least five (5) years and never been subject to any disciplinary action by the Board. An administrative citation and fine does not constitute discipline; and therefore, in and of itself, is not a reason to deny approval of an individual as a supervisor. The supervisor shall not be an employee of Respondent, have a relationship which is familial, financial or personal in nature with Respondent or any other type of relationship that could reasonably be expected to compromise the ability of the supervisor to render impartial and unbiased reports to the Board.—~~The supervisor shall also not be a family member of Respondent.~~ If the supervisor terminates or is otherwise no longer available to act as the Respondent's supervisor, Respondent shall not practice until a new supervisor has been approved by the Board.

Upon approval of the supervisor and outline of supervision, the supervisor shall sign an agreement or contract that ~~he or she~~ the supervisor has reviewed the conditions of the ~~licensee's~~ Respondent's disciplinary order ~~and/or contract~~ and agrees to supervise the licensee Respondent, as set forth by the Board. Respondent shall submit the signed agreement or contract to the Board's Probation Monitor, or its designee. The supervisor shall have full and random access to Respondent's patient records and may evaluate all aspects of Respondent's practice. The supervisor shall complete and submit a written report, on a basis determined by the Board, verifying supervision has taken place, as approved by the Board. It shall be Respondent's responsibility to ensure the supervisor submits the reports to the Board ~~in a timely manner~~ within fourteen (14) days of signing the report.

The supervisor's report shall include:

- A. Respondent's name and license number;
- B. Supervisor's name, license number and signature;
- C. Worksite location(s);
- D. Evaluation of Respondent's compliance with ~~his or her~~ the Respondent's probationary conditions and existing laws and regulations governing the practice of physical therapy;

E. Assessment of Respondent's progress in regards to the specific issues, deficiencies or concerns resulting from the violations identified in the Decision and Order by the Board;

F. Summary of the supervisor's conclusions and opinions concerning the issues described, above, and the basis for ~~his or her~~ the supervisor's conclusions and opinions.

The Supervisor shall keep any information used to write his or her report (e.g. record of patient records reviewed) and shall make it available at the Board's request, in case Board requests said information. This information does not need to be submitted to the Board unless the Board or its representative requests it or if the supervisor feels the information is pertinent to include in their report.

After ~~one (1)~~ [X] year(s) of full compliance with probation, the Board, or its designee, respondent may request in writing for the approval by the Board or its designee, ~~to remove this condition entirely or~~ consider a modification of this term to the requirement to a lower level of supervision.

B. ~~Restriction of Practice—~~ Practice Monitoring Required

Note: This probation condition shall only ~~be applied if~~ apply at such time when the Respondent holds a vested interest in the physical therapy practice (i.e. officer, partner, shareholder, sole proprietor). This condition does not require the physical presence of another licensed physical therapist; however, it does require arranged visits by a Practice Monitor approved by the Board for documentation review and compliance reporting only. This condition should be used in conjunction with Condition A to allow for flexibility of employment should Respondent close their business never be used with condition A.

The following probation condition shall be operative when Respondent works as a physical therapist in a practice where Respondent holds a vested interest (i.e. as an officer, partner or shareholder).

Within fifteen (15) business days of the effective date of this Decision and Order, Respondent shall submit to the Board in writing, for its prior approval, the name and qualifications of one or more proposed licensed physical therapist(s) to serve as Practice Monitor(s). Each Practice Monitor shall be a California licensed physical therapist, and shall have been licensed for at least five (5) years, and have never been subject to any disciplinary action by the Board. An administrative citation and fine does not constitute discipline and therefore, in and of itself, is not a reason to deny approval of an individual as a Practice Monitor. The Practice Monitor shall not be an employee of the Respondent, have a relationship which is familial, financial, or personal in nature with Respondent or any other type of relationship that could reasonably be expected to compromise the ability of the Practice Monitor to render impartial and unbiased reports to the Board. ~~The Practice Monitor shall also not be a family member of Respondent.~~ If the Practice Monitor terminates or is otherwise no longer available, Respondent shall not practice until a new Practice Monitor has been approved by the Board. All costs associated with the Practice Monitor shall be paid by Respondent.

Respondent shall not practice until receiving written approval from the Board regarding Respondent's choice of a Practice Monitor.

Upon approval of the Practice Monitor by the Board, the Practice Monitor, in conference with the Board's Probation Monitor, will establish the schedule upon which visits will be made to Respondent's place of employment to review Respondent's current practice and adherence to the conditions of probation. The Practice Monitor shall have full and random access to all of Respondent's patient records at all times. The Practice Monitor shall evaluate all aspects of Respondent's practice.

The Practice Monitor shall report on Respondent's current practice and compliance with the conditions of Respondent's probation to the Board's Probation Monitor after each visit. Frequency of the visits by the Practice Monitor shall be determined by the Board. It shall be Respondent's responsibility to ensure the Practice Monitor submits the reports to the Board within fourteen (14) days of ~~the~~each visit.

The Practice Monitor's report shall include:

1. Respondent's name and license number
2. Practice Monitor's name, license number and signature
3. Worksite location(s)
4. Evaluation of Respondent's compliance with ~~his or her~~their probationary conditions and existing laws and regulations governing the practice of physical therapy
5. Assessment of Respondent's progress ~~in regards to~~regarding the correction of specific issues, deficiencies or concerns resulting from the violations identified in the Decision and Order by the Board
6. Summary of the Practice Monitor's conclusions and opinions concerning the issues described above and the basis for ~~his or her~~their conclusions and opinions

The Practice Monitor shall also maintain and submit with ~~his or her~~reports, a log of the patient charts reviewed, the date(s) of service reviewed, and the date upon which the review occurred.

C. Restriction of Practice – Prohibition of Home Care

Respondent shall not provide physical therapy services in a patient's home.

D. Restriction of Practice – Prohibition of Solo Practice

Respondent shall be prohibited from engaging in the solo practice of physical therapy. Solo practice means a physical therapy business or practice where only Respondent provides patient care.

Note: This condition applies only to a physical therapist since a physical therapist assistant may not perform physical therapy without supervision.

In cases where Respondent's ability to function independently is in doubt as a result of a deficiency in knowledge or skills, or as a result of questionable judgment, this condition should be included. ~~Solo practice means a physical therapy business or practice where only Respondent provides patient care.~~

E. Restriction of Practice - Prohibition of Self-Employment or Ownership

Respondent shall not be the sole proprietor or partner in the ownership of any business that offers physical therapy services. Respondent shall not be a Board member or an officer or have a majority interest in any corporation that offers or provides physical therapy services.

F. Restriction of Practice - Prohibition of Direct Billing of Third-Party Payers

Respondent shall not have final approval over any physical therapy billings submitted to any third-party payers in any employment.

G. Restriction of Practice – Third-Party Presence

During probation, Respondent shall have a third-party present while examining or treating _____ (name the specific patient population). Respondent shall, within ten (10) days of the effective date of the Decision and Order, submit to the Board or its designee for its approval the name(s) of the person(s) who will act as the third-party present. Respondent shall execute a release authorizing the third-party present to divulge any information that the Board may request. The person(s) acting in the role of the third-party present shall be provided with a copy of the Initial Probationary License Decision and Order, Statement of Issues or Accusation, Decision and Order, or Stipulated Decision and Order, as appropriate.

H. Restriction of Practice - Prohibition ~~Not to~~ from Treating a Specific Patient Population

Respondent shall not treat any _____
(name the specific patient population)

[Note: Examples of specific patient population include: specific gender, children, direct access clients]

I. Restriction of Practice - Prohibition from Performing Specified Physical Therapy Procedures

During probation, Respondent is prohibited from performing or supervising the performance of _____ (specific physical therapy procedure; on a specific patient population). After Beginning on the effective date of this Decision and Order, the first time that a patient seeking the prohibited services makes an appointment, Respondent shall orally notify the patient that Respondent does not perform _____ (e.g. a specific physical therapy procedure; on a specific patient population). Respondent shall maintain a log of all patients to whom

the required notification was made. The log shall contain the: 1) patient's name, address and phone number; 2) patient's medical record number, if available; 3) the full name of the person making the notification; 4) the date the notification was made; and 5) a description of the notification given. Respondent shall keep this log in a separate file or ledger, in chronological order, shall make the log available for immediate inspection and copying on the premises at all times during business hours by the pPractice mMonitor or the Board's pProbation mMonitor, and shall retain the log for the entire term of probation. Failure to maintain a log, as defined in the section condition, or failure to make the log available for immediate inspection and copying on the premises during business hours is a violation of probation.

In addition to the required oral notification, after beginning on the effective date of the Decision and Order, the first time a patient seeks the prohibited services, and each subsequent time-treatment, Respondent shall provide a written notification to the patient stating that Respondent does not perform _____ (e.g. a specific physical therapy procedure; on a specific patient population). Respondent shall maintain a copy of the written notification in the patient's file, shall make the notification available for immediate inspection and copying on the premises at all times during business hours by the pPractice mMonitor or the Board's pProbation mMonitor, or its designee, and shall retain the notification for the entire term of probation. Failure to maintain the notification, as defined in the section condition, or failure to make the notification available for immediate inspection and copying on the premises during business hours, is a violation of probation.

If Respondent can demonstrate competency in performing _____ (e.g. a specific physical therapy procedure; on a specific patient population) to the satisfaction of a physical therapist (holding a valid and current, unrestricted license), approved by the Board's pProbation mMonitor, the approved physical therapist can recommend to the Board, in writing, that this term of the probation end be removed without the probationer requiring Respondent to petitioning to modify the probation. The Board, or its designee, will make the decision and notify Respondent of its decision, in writing. Until Respondent has been notified, in writing, by the Board that this term has been terminated, Respondent shall continue to practice under the provision of this term.

Respondent shall pay all costs associated with this condition of the evaluation.

J. Notification of Probation Status to Assistive Personnel

If Respondent provides supervision to assistive personnel [physical therapist assistants, or physical therapy aides], Respondent shall notify all present and future assistive personnel under their supervision (during the entire period of probation) of the reason for and terms and conditions of this Decision and Order.

Respondent shall do so by providing a copy of the Initial Probationary License, Statement of Issues, or Accusation, and Decision and Order, as applicable, to all assistive personnel, and submit to the Board confirmation of the assistive personnel's acknowledgement of receipt, signed by the assistive personnel within ten (10) days of

the effective date of this Decision and Order, and within ten (10) days of any newly acquired assistive personnel during the entire period of probation. The confirmation(s) provided to the Board shall include the name, address and telephone number of the assistive personnel.

JK. Restriction of Practice - No Supervision of Physical Therapist License Applicants or Physical Therapist Assistant License Applicants

Respondent shall not supervise any physical therapist license applicants or physical therapist assistant license applicants during the entire period of probation. Respondent shall terminate any such supervisory relationship in existence on the effective date of this Decision and Order.

KL. Restriction of Practice - No Supervision of Physical Therapist Assistants

Respondent shall not supervise any physical therapist assistants during the entire period of probation. Respondent shall terminate any such supervisory relationship in existence on the effective date of this Decision and Order.

Optional Language: After [x] year(s) of full compliance with probation, the Board, or its designee, may remove the restriction or authorize limited supervision of physical therapist assistants, as approved by the Board.

Optional Condition

Respondent shall not supervise any physical therapist assistants during the entire period of probation and shall terminate any such supervision relationship in existence on the effective date of this Decision and Order, unless Respondent has first obtained written approval from the Board, or its designee, for each person they intend to supervise.

Prior to Board approval, Respondent shall require the physical therapist assistant that they intend to supervise, to review the Statement of Issues, Accusation, and the Decision and Order, as applicable in this matter. Respondent shall provide to the Board, or its designee, in writing, the name, address and telephone number of the physical therapist assistant they intend to supervise.

LM. Restriction of Practice - No Supervision of Physical Therapy Aides

Respondent shall not supervise any physical therapy aides during the entire period of probation. Respondent shall terminate any such supervisory relationship in existence on the effective date of this Decision and Order.

Optional Language: After [x] year(s) of full compliance with probation, the Board, or its designee, may remove the restriction or authorize limited supervision of physical therapy aides, as approved by the Board.

Optional Condition

Respondent shall not supervise any physical therapy aides during the entire period of probation and shall terminate any such supervision relationship in existence on the effective date of this Decision and Order, unless Respondent has first obtained written approval from the Board, or its designee, for each person they intend to supervise.

Prior to Board approval, Respondent shall require the physical therapy aide they intend to supervise, to review the Statement of Issues, Accusation, and the Decision and Order, as applicable in this matter. Respondent shall provide to the Board or its designee, in writing, the name, address and telephone number of the physical therapy aide they intend to supervise.

MN. Notification to Patients

Respondent shall notify each patient, in writing, ~~that his or her~~their license is on probation and that [INSERT LANGUAGE SPECIFIC TO CASE, e.g. supervising physical therapist will be reviewing patient records or other specific requirement].

Such notification shall be signed and dated, by each patient, prior to the commencement or continuation of any examination or treatment of each patient by Respondent. A copy of such notification shall be maintained in the patient's record. Respondent shall offer the each patient a copy of the acknowledgement.

The notification shall include the following language unless the Board, or its designee, agrees, in writing, to a modification:

The Physical Therapy Board of California (PTBC) has placed [INSERT PHYSICAL THERAPIST/ASSISTANT] license no. [INSERT LICENSE #], issued to [INSERT LICENSEE NAME], on probation.

As a condition of probation, the above-named licensee must notify patients that [INSERT LANGUAGE SPECIFIC TO CASE, e.g. practice monitor will be reviewing patient records or other specific requirement]. In addition, Respondent the above-named licensee is required to notify and obtain written acknowledgement from each patient of this condition. A copy of the acknowledgement shall be offered to the patient and placed in the patient's record.

Information regarding PTBC license disciplinary actions may be accessed online at www.ptbc.ca.gov<https://www.breeze.ca.gov>.

Note: If condition "B" Practice Monitor is used, condition "N" is necessary.

NO. Notification of Probationer Status to Employees

If Respondent is an employer, Respondent shall notify all present ~~or~~ and future employees of the reason for and terms and conditions of the probation.

Respondent shall do so by providing a copy of the Initial Probationary License, Statement of Issues, or Accusation, and Decision and Order, as applicable, to each employee and submit ~~confirmation~~ acknowledgement of receipt, signed by the employee ~~of employee receipt~~ to the Board within ten (10) days of the effective date of this Decision and Order, and within ten (10) days of any newly acquired employee beginning work after the effective date. The confirmation(s) provided to the Board shall include the name, address, ~~and~~ phone number, and job title of ~~the~~ each employees.

OP. Education Course(s)

Within thirty (30) days of the effective date of this Decision and Order, Respondent shall submit to the Board, or its designee, for prior approval, a physical therapy remedial educational program (including date, title, and length of course(s) in the content of _____ (specify course subject) which shall not be less than eight (8) hours. Respondent shall supply documentation verifying satisfactory completion of coursework. Respondent shall be responsible for costs incurred of the course(s). Course hours shall not satisfy the Continuing Competency requirements pursuant to ~~BPCB&P Code sec. 2676~~ 2649, as a condition for renewal of license.

If Respondent fails to provide documentation verifying satisfactory completion of the coursework within 180 days of the effective date of this Decision and Order, Respondent shall be suspended from the practice of physical therapy until documentation verifying satisfactory completion of the coursework is provided.

PQ. Psychiatric Evaluation

Within thirty (30) calendar days of the effective date of this Decision and Order, and on whatever periodic basis thereafter may be required by the Board, or its designee, Respondent shall undergo and complete a psychiatric evaluation (and psychological testing, if deemed necessary) by a Board-appointed Board certified psychiatrist, who shall consider any information provided by the Board, or its designee, and any other information the psychiatrist deems relevant, and shall furnish a written evaluation report to the Board, or its designee, indicating whether Respondent is mentally fit to practice physical therapy safely. Psychiatric evaluations conducted prior to the effective date of the Decision and Order shall not be accepted towards the fulfillment of this requirement. Respondent shall pay all cost of all psychiatric evaluations, psychological testing, and any required additional ~~follow-up~~ visits.

Respondent shall comply with all restrictions or conditions recommended by the evaluating psychiatrist within fifteen (15) calendar days after being notified by the Board, or its designee. Failure to undergo and complete a psychiatric evaluation and psychological testing, or comply with the required additional conditions or restrictions, is a violation of probation.

(Option: Condition Precedent)

Respondent shall not engage in the practice of physical therapy until notified by the Board₁ or its designee₁ that Respondent is has been deemed to be mentally fit to practice physical therapy safely. The period of time Respondent is not practicing physical therapy shall not be counted toward completion of the term of probation.

QR. Psychotherapy

Within sixty (60) calendar days of the effective date of this Decision and Order, Respondent shall submit to the Board₁ or its designee₁ for prior approval the name and qualifications of a Board certified psychiatrist or a licensed psychologist who has a doctoral degree in psychology and at least five (5) years of postgraduate experience in the diagnosis and treatment of emotional and mental disorders. Upon approval by the Board₁ or its designee₁, Respondent shall undergo and continue psychotherapy treatment, including any modifications to the frequency of psychotherapy, until the Board₁ or its designee₁ deems that no further psychotherapy is necessary based on written notice from the psychiatrist or psychologist.

The psychotherapist shall consider any information provided by the Board₁ or its designee₁ and any other information the psychotherapist deems relevant and shall furnish a written evaluation report to the Board₁ or its designee. Respondent shall cooperate in providing the psychotherapist any information and documents that the psychotherapist may deem pertinent. Respondent shall have the treating psychotherapist submit quarterly status reports to the Board₁ or its designee. The Board₁ or its designee₁ may require Respondent to undergo psychiatric evaluations by a Board-appointed₁ Board certified psychiatrist.

If, prior to the completion of probation, Respondent is found to be mentally unfit to resume the practice of physical therapy without restrictions, the Board shall have continued jurisdiction over Respondent's license and the period of probation shall be extended until the Board determines Respondent is mentally fit to resume the practice of physical therapy without restrictions. Respondent shall pay all the costs associated with compliance of this term of all psychotherapy and psychiatric evaluations.

Note:

This condition is for cases where the evidence demonstrates Respondent has had an impairment (impairment by mental illness, alcohol abuse and/or drug self-abuse) related to the violations but is not at present a danger to Respondent's patients.

RS. Medical Evaluation

Within thirty (30) days of the effective date of this Decision and Order, and on a periodic basis, thereafter, as may be required by the Board₁ or its designee, Respondent shall undergo a medical evaluation by a Board appointed physician and surgeon, who shall furnish a medical report to the Board₁ or its designee. Respondent shall pay the all costs of the associated with the medical evaluation and continued treatments.

If, following the medical evaluation, Respondent is required by the Board₁ or its designee₁ to undergo medical treatment, Respondent shall within thirty (30) days of written notification of the requirement submit to the Board₁ or its designee₁ for its prior approval the name and qualifications of a physician and surgeon of Respondent's choice. Upon Board approval of the treating physician and surgeon, Respondent shall undergo and continue medical treatment until further notice from the Board₁ or its designee. Respondent shall have the treating physician and surgeon submit quarterly status reports to the Board₁ or its designee₁ indicating whether Respondent is capable of practicing physical therapy safely.

Optional Condition

Respondent shall not engage in the practice of physical therapy until notified by the Board₁ or its designee₁ of its determination that Respondent is medically fit to practice safely.

ST. Medical Treatment

Within fifteen (15) days of the effective date of this Decision and Order, Respondent shall submit to the Board₁ or its designee₁ for its prior approval, the name and qualifications of a physician of Respondent's choice. Upon approval, Respondent shall undergo and continue medical treatment until the Board₁ or its designee₁ deems that no further medical treatment is necessary. Respondent shall have the treating physician submit quarterly status reports to the Board₁ or its designee₁ indicating whether Respondent is capable of practicing physical therapy safely. The Board₁ or its designee₁ may require Respondent to undergo periodic medical evaluations by a Board appointed physician. Respondent shall pay ~~the~~ all costs associated with the medical treatment(s).

U. Relinquish Wallet License and Wall Certificate

Respondent shall relinquish and shall forward or deliver their wallet license to practice and the wall certificate to the Board within ten (10) days of the effective date of the Decision and Order.

Note: This term used on surrender and revocation cases only.

**CONDITIONS APPLYING THE UNIFORM STANDARDS
SPECIFIC TO ALCOHOL
AND CONTROLLED SUBSTANCES**

The following conditions, lettered TV through AEE, must be used in cases where alcohol or a controlled substance was involved in the violation.

V. Cease Practice

From the effective date of this Decision and Order, Respondent shall cease practice until the Board, or its designee, determines that Respondent is safe to return to practice.

W. Substance Abuse Rehabilitation Program

Within fifteen (15) calendar days from the effective date of this Decision and Order, Respondent shall enroll and participate in the Board's rehabilitation program at Respondent's cost until the rehabilitation program determines that Respondent's participation is no longer necessary. Respondent shall comply with all components of the rehabilitation program. Respondent shall sign a release authorizing the rehabilitation program to report all aspects of participation of the rehabilitation program, as requested by the Board, or its designee.

If Respondent fails to comply with the requirements of the rehabilitation program, terminates the program without permission, or is expelled for cause, it shall constitute a violation of probation, and Respondent shall be immediately suspended from the practice of physical therapy.

TX. Clinical Diagnostic Evaluation (CDE)

Within thirty (30) days of the effective date of the Decision and Order, Respondent shall undergo a CDE from a licensed practitioner who holds a valid, unrestricted license to conduct a CDE's, has at least three (3) years of experience in providing evaluations of health care professionals with substance abuse disorders, and is approved by the Board. The Board may request Respondent to undergo a CDE, at any time, during the period of probation. The ~~evaluations~~ CDE shall be conducted in accordance with accepted professional standards for conducting substance abuse CDE's.

Respondent shall undergo a CDE to determine whether the Respondent has a substance abuse problem and whether ~~the Respondent~~ is a threat to ~~himself or herself~~ themselves or others. The evaluator shall make recommendations for substance abuse treatment, practice restrictions, or other recommendations related to the Respondent's rehabilitation and ability to safe practice safely.

Respondent shall not be evaluated by an evaluator that has had a financial, personal, familial, or business relationship with the Respondent within the last five (5) years. The evaluator shall provide an objective, unbiased, and independent evaluation.

During the evaluation, if ~~Respondent~~ it is determined that Respondent is to be a threat to ~~himself or herself~~ themselves or others, the evaluator shall notify the Board, within 24 hours, of such a determination.

For all evaluations, the evaluator shall provide a final written report ~~shall be provided~~ to the Board no later than ten (10) days from the date the evaluator is assigned the matter, unless the evaluator requests additional information to complete the evaluation, not to exceed thirty (30) days.

~~Respondent may return to either full-time or part-time work if the Board determines he or she is fit to do so based upon consideration of the CDE report and the following criteria:~~

Y. Determination on Return to Practice

The Board shall use the following criteria in determining whether or not Respondent is safe to return to full-time or part-time work, what practice restrictions shall be imposed on Respondent, and whether Respondent shall be required to participate in inpatient, outpatient, or any other type of treatment:

- Findings and recommendation(s) of CDE report;
- Respondent's License type;
- Licensee's Respondent's history;
- Documented length of sobriety/time that has elapsed since last substance use;
- Scope and pattern of substance abuse;
- Treatment history;
- Medical history and current medical condition;
- Nature, duration, and severity of substance abuse; and,
- ~~Whether the licensee~~Respondent is a threat to ~~himself or herself~~themselves or others.

While awaiting the Board's determination, Respondent shall be randomly drug tested, at least two (2) times per week, as part of the rehabilitation program.

The Board shall determine whether or not the Respondent is safe to return to full-time or part-time work, and what restrictions shall be imposed on the Respondent. However, Respondent shall not return to practice until ~~he or she has~~ the Respondent has had negative drug test results for a period of at least thirty days of negative drug tests.

~~If the Respondent is required to participate in inpatient, outpatient, or any other type of treatment, the Board shall take into consideration the recommendation of the CDE, license type, licensee's history, length of sobriety, scope and pattern of substance abuse, treatment history, medical history, current medical condition, nature, duration~~

~~and severity of substance abuse and whether the licensee is a threat to himself or herself or others.~~

~~In the event that~~ If interpretation or clarification of any of the Conditions Applying the Uniform Standards is necessary, the Uniform Standards shall be controlling.

~~U. Substance Abuse Rehabilitation Program~~

~~Within fifteen (15) calendar days from the effective date of this Decision and Order, Respondent shall enroll and participate in the Board's rehabilitation program at Respondent's cost until the rehabilitation program determines that participation in the rehabilitation program is no longer necessary.~~

~~Respondent shall comply with all components of the rehabilitation program. Respondent shall sign a release authorizing the rehabilitation program to report all aspects of participation of the rehabilitation program as requested by the Board or its designee.~~

~~Failure to comply with requirements of the rehabilitation program, terminating the program without permission or being expelled for cause shall constitute a violation of probation by Respondent and shall be immediately suspended from the practice of physical therapy.~~

~~[Optional language: This condition may be waived by the board upon a written finding by the CDE that Respondent is not a substance abusing licensee.]~~

~~VZ. Abstain from Use of Controlled Substances and Dangerous Drugs~~

~~Respondent shall abstain completely from personal use, possession, injection, consumption by any route, including inhalation, of all controlled substances as defined in the California Uniform, Controlled Substances Act. This prohibition does not apply to medications lawfully prescribed to Respondent for a bona fide illness or condition by a practitioner licensed to prescribe such medications. Within fifteen (15) calendar days of receiving any lawful prescription medications, Respondent shall notify the recovery substance abuse rehabilitation program, in writing, of the following: prescriber's name, address, and telephone number; medication name and strength; and issuing pharmacy name, address, and telephone number. Respondent shall also provide a current list of prescribed medication with the prescriber's name, address, and telephone number on each quarterly report submitted to the Board's Probation Monitor, or its designee. Respondent shall provide the Board's ~~p~~Probation mMonitor, or its designee, with a signed and dated medical release covering the entire probation period.~~

~~Respondent shall identify for the Board's approval a single coordinating physician and surgeon who shall be aware of Respondent's history of substance abuse and who will coordinate and monitor any prescriptions for Respondent for dangerous drugs, and controlled substances. Once a Board-approved physician and surgeon has been identified, Respondent shall provide a copy of the Initial Probationary License Decision~~

and Order, Statement of Issues or Accusation, Decision and Order, or Stipulated Decision and Order, as appropriate, to the coordinating physician and surgeon. The coordinating physician and surgeon shall report to the Board or its designee on a quarterly basis Respondent's compliance with this condition.

The Board may require that only a physician and surgeon who is a specialist in addictive medicine be approved as the coordinating physician and surgeon.

If Respondent has a positive drug screen for any substance not legally authorized, Respondent shall be contacted and instructed to leave work and ordered, by the Board, to cease any practice and may not practice unless and until notified by the Board. The Board will notify Respondent's employer, if any, and ~~w~~Worksite ~~m~~Monitor, if any, that Respondent may not practice. If the Board files a petition to revoke probation or an accusation based upon the positive drug screen, Respondent shall be automatically suspended from practice pending the final decision on the petition to revoke probation or accusation. This period of suspension will not apply to the reduction of this probationary period.

[Optional language: This condition may be waived or modified by the ~~b~~Board's Probation Monitor or its designee upon a written finding by the Clinical Diagnostic Evaluator that Respondent is not a substance abusing licensee.]

WAA. Abstain from the Use of Alcohol

Respondent shall abstain completely from the use of alcoholic beverages.

[Optional language: This condition may be waived by the ~~b~~Board's Probation Monitor or its designee upon a written finding by the Clinical Diagnostic Evaluator that Respondent is not a substance abusing licensee.]

XBB. Biological Testing

Respondent shall immediately submit to ~~and pay for~~ any random and directed biological fluid or hair sample, breath alcohol, or any other mode of testing required by the Board, or its designee. All examination(s) and laboratory testing costs shall be paid by Respondent.

Respondent shall be subject to ~~a minimum of fifty-two (52); but not to exceed, to one-hundred and four (104)~~ random tests, per year, within the first year of probation, and ~~a minimum of thirty-six (36) to one-hundred and four (104)~~ random tests, per year, thereafter, for the duration of the probationary term. However, in cases where there is evidence that the Respondent has participated in a treatment or monitoring program requiring random testing, prior to being subject to testing by the Board, the Board may ~~give consideration to that testing in~~ altering the testing frequency schedule so that it is equivalent to this standard.

Respondent shall make daily contact, as directed by the Board, to determine if ~~he or she~~ the Respondent must submit to ~~drug~~biological testing. To ensure test results are

sent immediately to the Board. Respondent shall have the test performed by a Board-approved laboratory, that is certified and accredited by the U.S. Department of Health and Human Services, on the same day that he or she the Respondent is notified that a test is required. ~~This shall ensure that the test results are sent immediately to the Board.~~ Failure to comply ~~If Respondent fails to comply within the time specified, it shall be considered an admission of a positive drug screen and constitute a violation of probation. If the a urine test results in a determination that the urine was too diluted for testing, the result shall be considered an admission, by Respondent, of a positive urine screen and constitutes a violation of probation.~~

If a positive result is obtained, the Board may ~~ordersuspend~~ Respondent to cease all practice, and require Respondent to immediately undergo a physical examination and ~~to complete laboratory or diagnostic testing to determine if any underlying physical condition has contributed to the diluted result and to suspend Respondent's license to practice.~~ Any such examination or laboratory and testing costs shall be paid by Respondent. A positive result in this case, is one which, based on scientific principles, indicates Respondent attempted to alter the test results in order to either render the test invalid or obtain a negative result when a positive result should have been the outcome.

If it is determined Respondent altered the test ~~resultssample,~~ the result shall be considered an admission of a positive ~~urine screen~~ result and constitutes a violation of probation and Respondent must cease practicing. Respondent shall not resume practice until notified by the Board. If Respondent tests positive for a banned substance, Respondent shall be contacted and instructed to leave work and ordered to cease all practice. Respondent shall not resume practice until notified by the Board. All alternative drug testing sites, due to vacation or travel outside of California, must be approved by the Board prior to the vacation or travel.

[Optional language: This condition may be waived or modified by the ~~Board's~~ Board's Probation Monitor or its designee upon a written finding by the Clinical Diagnostic Evaluator that Respondent is not a substance abusing licensee.]

The "Exceptions to Testing Frequency Schedule" identified in Uniform Standard #4 apply.

YCC. Major Violations

~~This provision applies if Respondent commits a violation of the rehabilitation program or any other condition applying the uniform standards specific to controlled substance(s).~~

If Respondent commits a major violation, Respondent shall, immediately upon notification by the Board, cease practice until notified otherwise in writing by the Board.

Major ~~v~~violations include, but are not limited to, the following:

1. Failure to complete a Board-ordered program;
2. Failure to undergo a required CDE;

3. Committing multiple minor violations of probation conditions;
4. Treating a patient while under the influence of drugs or alcohol;
5. Committing any drug or alcohol offense that is a violation of the Business and Professions Code or other state or federal law;
6. Failure to obtain ordered biological testing for substance abuse;
7. Testing positive for a banned substance; ~~and~~
8. Knowingly using, making, altering, or possessing any object or product in such a way as to defraud a drug test designed to detect the presence of alcohol or a controlled substance.

This provision applies if Respondent commits a violation of the rehabilitation program or any other condition applying the uniform standards specific to controlled substance(s).

ZDD. Facilitated Group Support Meetings

Within fifteen (15) days from the effective date of their Decision and Order, Respondent shall submit to the Board, or its designee, for prior approval the name of one or more group support meeting facilitator(s). Respondent shall participate in facilitated group support meetings within fifteen (15) days after a notification of the Board's, or its designee's, approval of the meeting facilitator. When determining the type and frequency of required facilitated group support meeting attendance, the Board, or its designee, shall ~~give consideration to the following:~~

- ~~The licensee's~~ Respondent's history
- The documented length of sobriety/time that has elapsed since substance abuse
- The recommendation of the clinical evaluator
- The scope and pattern of substance abuse
- ~~The licensee~~Respondent's treatment history;
- The nature, duration, and severity of substance abuse

Respondent shall submit ~~Verified~~ documentation of attendance ~~shall be submitted by Respondent with each quarterly report to the Board's Probation Monitor.~~ Respondent shall continue attendance in such a group for the duration of probation, unless notified by the Board that attendance is no longer required.

~~If a facilitated group support meeting is ordered,~~ The group support facilitator shall meet the following qualifications and requirements:

1. ~~The group meeting facilitator shall have a m~~Minimum of three (3) years of experience in the treatment and rehabilitation of substance abuse, and shall be licensed or certified by the state or ~~of~~ other nationally certified organizations.
2. ~~The group meeting facilitator s~~Shall not have had a financial relationship, personal relationship, or business relationship with the licensee Respondent in the last five (5) years.
3. ~~The group facilitator s~~Shall provide to the Board, or its designee, a signed document showing ~~the licensee~~Respondent's name, the group name, the date

and location of the meeting, the licensee Respondent's attendance, and the licensee's Respondent's level of participation and progress.

4. ~~The group meeting facilitator s~~ Shall report any unexcused absence(s) to the Board, or its designee, within twenty-four (24) hours.

[Optional language: This condition may be waived or modified by the Board's Probation Monitor, or its designee, upon a written finding by the Clinical Diagnostic Evaluator that Respondent is not a substance abusing licensee.]

AAEE. Worksite Monitor

Respondent shall have a Worksite Monitor, as required by this term. The Worksite Monitor shall not have any current or former financial, personal, or familial relationship with the licensee Respondent, or other relationship that could reasonably be expected to compromise the ability of the Worksite Monitor to render impartial and unbiased reports to the Board. If it is impractical for anyone but the licensee Respondent's employer to serve as the Worksite Monitor, this requirement may be waived by the Board. However, under no circumstances shall a licensee Respondent's Worksite Monitor be an employee of the licensee Respondent.

The Worksite Monitor's license scope of practice shall include the scope of practice of the licensee who is being monitored or be another health care professional if no monitor with like scope of practice is available.

The Worksite Monitor shall have an active unrestricted license, with no disciplinary action within the last five (5) years.

The Worksite Monitor shall sign an affirmation that he or she the Worksite Monitor has reviewed the terms and conditions of the licensee's Respondent's disciplinary Order and agrees to monitor the licensee Respondent, as set forth by the Board in the Order.

The Worksite Monitor must adhere to the following required methods of monitoring the licensee Respondent:

1. a) At least once per week, or more frequently if required by the Board, Have face-to-face contact with the licensee Respondent at least once per week in the Respondent's work environment or more frequently if required by the Board.
2. b) Interview other staff in the office regarding the licensee's Respondent's behavior, if applicable.
3. e) Review the licensee Respondent's work attendance.

The Worksite Monitor shall report to the Board as follows:

- Any suspected substance abuse must be verbally reported to the Board and the licensee Respondent's employer within one (1) business day of occurrence. If occurrence is not during the Board's normal business hours, the verbal report

must be within one (1) hour of the next business day. A written report shall be submitted, to the Board, within forty-eight (48) hours of occurrence.

- ~~The worksite monitor shall complete and~~ submit a written report monthly or as directed by the Board. The report shall include: ~~the licensee~~ Respondent's name; license number; Worksite Monitor's name, signature and ~~worksite monitor's~~ license number; worksite location(s); dates licensee had face-to-face contact with the Worksite Monitor; staff interviewed if applicable; attendance report; any change in behavior and/or personal habits; and any indicators leading to suspected substance abuse.

~~The licensee~~ Respondent shall complete the required consent forms and sign an agreement with the Worksite Monitor and the Board to allow the Board to communicate with the Worksite Monitor, regarding Respondent.

~~If Respondent tests positive for a banned substance, the Board will immediately notify Respondent's employer that the Respondent's license has been ordered to cease practice.~~

[Optional language: This condition may be waived or modified by the Board's Probation Monitor, or its designee, ~~based~~ upon a written finding by the Clinical Diagnostic Evaluator that Respondent is not a substance abusing licensee.]

MODEL ORDERS FOR LICENSEE DISCIPLINE

Probation Without Uniform Standards

IT IS HEREBY ORDERED that [Physical Therapist or Physical Therapist Assistant], License No. [#], issued to Respondent [name] is REVOKED. However, the Order of Revocation is STAYED and Respondent is placed on probation for [#] years, or until Respondent has completed payment on all money owed in cost recovery, whichever is longer, on the following terms and conditions:

Probation With Conditions Including the Uniform Standards

IT IS HEREBY ORDERED that [Physical Therapist or Physical Therapist Assistant], License No. [#], issued to Respondent [name] is REVOKED. However, the Order of Revocation is STAYED and Respondent is placed on probation for [#] years or completion of the substance abuse rehabilitation program, plus one (1) year, and all money owed in cost recovery is paid, whichever is longer, on the following terms and conditions.

Surrender of License

IT IS HEREBY ORDERED that [Physical Therapist or Physical Therapist Assistant], License No. [#], issued to Respondent [name] is surrendered and accepted by the Physical Therapy Board of California.

1. Respondent shall lose all rights and privileges as a [physical therapist or physical therapist assistant], in California, as of the effective date of this Decision and Order.
2. On or before the effective date of this Decision and Order, Respondent shall cause to be delivered the wallet license and wall certificate to the Board.
3. If Respondent ever files an application for licensure in the State of California, the Board shall treat it as a petition for reinstatement. Respondent must comply with all the laws, regulations and procedures for reinstatement of a revoked license in effect at the time the petition is filed, and all of the charges and allegations contained in the Accusation No. [xx] shall be deemed to be true, correct and admitted, by Respondent, when the Board determines whether to grant or deny the petition.
4. If Respondent should ever apply or reapply for a new license or certification, or petition for reinstatement of a license, by any other health care licensing agency in the State of California, all of the charges and allegations contained in Accusation No. [XX] shall be deemed to be true, correct, and admitted, by Respondent, for the purpose of any Statement of Issues or any other proceeding seeking to deny or restrict licensure.
5. Respondent shall pay the Board its costs of investigation and enforcement in the amount of \$[XX] prior to petitioning for reinstatement of their physical therapist/assistant license.
6. The surrender of Respondent's physical therapist/assistant license and the acceptance of the surrendered license by the Board shall constitute the imposition of discipline against Respondent.

This stipulation constitutes a record of the discipline and shall become a part of Respondent's license history with the Physical Therapy Board of California.

Petition for Termination of Probation GRANTED

The Petition for Early Termination of Probation filed by Petitioner [NAME] is GRANTED. The probation of [Physical Therapist or Physical Therapist Assistant] License No. PT/PTA, issued to [Respondent's Name], is hereby terminated.

Petition for Termination of Probation DENIED

The Petition for Early Termination of Probation filed by Petitioner [NAME] is DENIED.

Or with conditions:

The Petition for Early Termination of Probation filed by Petitioner [NAME] is DENIED; however, Petitioner's probation is modified, and probation shall end upon completion of the following conditions:

1. The Board is notified that Petitioner has completed the Drug and Alcohol Recovery Program (Maximus); and
 2. Sixty (60) days have elapsed after the Board's receipt of such notice.
- (note: 1 & 2 are sample language)

Petition for Reinstatement of License GRANTED

The Petition for Reinstatement filed by Petitioner [NAME] is GRANTED. Petitioner's Physical Therapist/Assistant license is reinstated; however, the order of reinstatement is immediately stayed and the reinstated license is placed on probation, for a period of [xx] years, under the following terms and conditions:

Petition for Reinstatement of License DENIED

The Petition for Reinstatement filed by Petitioner [NAME] is DENIED.

Applicant Placed on Probation Based on an Initial Probationary License

"IT IS HEREBY ORDERED that the application for licensure as a [physical therapist or physical therapist assistant] of Respondent [name] for an unrestricted license is hereby denied. However, an initial probationary license shall remain issued to Respondent, subject to the following terms and conditions:

Applicant Placed on Probation Based on a Denial of Licensure

"IT IS HEREBY ORDERED that the application for licensure as a [physical therapist or physical therapist assistant] of respondent [name] for an unrestricted license is hereby GRANTED upon successful completion of all licensing requirements. A license shall be issued, provided that all licensing requirements are completed within [timeframe] of the effective date of this Decision and Order. Upon issuance, however, said license shall immediately be REVOKED. However, the order of revocation shall be STAYED, and the

license is placed on probation, for [#] years, subject to the following terms and conditions:” If a license is not issued within one year of the effective date of this Decision and Order, the application is ordered denied, and a new application for licensure shall be required.

GLOSSARY OF TERMS

Accusation - Charges filed against a licensee alleging violation(s) of the Physical Therapy Practice Act.

Business and Professions Code (BPC) - The statutes in law governing the businesses and professions. The Physical Therapy Practice Act begins with section 2600 of the Business and Professions Code. There are some other sections of the BPC named in this document that affect the practice of physical therapy but are not included in the Physical Therapy Practice Act. The Physical Therapy Practice Act can be accessed through the Physical Therapy Board of California website at www.ptbc.ca.gov.

California Code of Regulations (CCR) - Regulations define and clarify the intent of the statutes (laws). The regulations specific to physical therapy are located in Title 16, Chapter 13.2, ~~Article 6~~ of the California Code of Regulations and can be accessed through the Physical Therapy Board of California website at www.ptbc.ca.gov.

Citation - A means of addressing relatively minor violations, which do not warrant discipline in order to protect the public. Citations are not disciplinary actions, but are matters of public record.

Decision - The order of the Board in a disciplinary action.

Default Decision - Licensee fails to respond to Accusation by filing a Notice of Defense or fails to appear at an administrative hearing resulting in a default decision.

Diversion - The Board does not divert licensees from discipline.

Health and Safety Code (H&S Code) - Statutes of law pertaining to the health and safety of the citizens of California. Certain sections of the law contained in this document pertain to the practice of physical therapy. These statutes can be accessed through the Board website at www.ptbc.ca.gov.

Initial Probationary License (IPL) - The Board may, in its sole discretion, issue a probationary license to any applicant for licensure who is guilty of unprofessional conduct, but who has met all other requirements for licensure. An IPL is not considered disciplinary action and is removed from the record upon completion of probation. The IPL is posted on the Board's website only during the period of probation.

Interim Suspension Order - An order issued upon petition, suspending a licensee from all or a specified part of his or her the physical therapy practice of, or assisting in the provision of, physical therapy.

Petition to Revoke Probation - Charges filed against a probationer seeking revocation of their physical therapy or physical therapy assistant license based upon violation(s) of probation.

Practice Monitor (Probation Condition Term B) - The Practice Monitor is a licensed physical therapist ~~that~~who serves as a subject matter expert to review the probationer's current practice and to evaluate all aspects of the Probationer's practice. The Practice Monitor is approved by the Board and reports to the Board's ~~p~~Probation ~~m~~Monitor. The Practice Monitor is used in lieu of a supervising physical therapist when probationer holds a vested interest in the physical therapy practice.

Probation Monitor - The Probation Monitor is an employee of the Physical Therapy Board that monitors a probationers to ensure compliance with the terms and conditions of the Respondent's Respondent's probation.

Probation Tolloed - Credit for time served towards the probationary period does not begin until the probationer commences practice in the State of California.

Public Letter of Reprimand – In lieu of filing a formal accusation, the Board may, pursuant to BPC section 2660.3, upon stipulation or agreement by the licensee, issue a public letter of reprimand. If the licensee does not agree to the issuance of the letter, the ~~b~~Board shall not issue the letter and may proceed to file a formal accusation. A public letter of reprimand is considered disciplinary action.

Public Reapproval – A formal public reapproval, pursuant to BPC, section 495, may be issued for an act constituting grounds for suspension or revocation of a license. This requires filing of a formal accusation. A public reapproval is considered disciplinary action.

Rehabilitation – A part of a disciplinary action taken in cases of substance abuse.

Revoked - ~~The right to practice is ended. The license is revoked as a result of disciplinary action rendered by the Board, and the licensee is prohibited from engaging in the practice, or assisting in the provision, of physical therapy.~~

Revoked, stayed, probation - "Stayed" means the revocation is postponed or put off. Professional practice may continue, so long as the licensee complies with specific probationary terms and conditions. Violation of probation may result in the revocation that was postponed.

Statement of Issues - Charges filed against an applicant due to alleged violation(s) of the Physical Therapy Practice Act. If found to be true, the charges may result in discipline.

Supervising Physical Therapist - (Probation Condition Term A) - The Supervising Physical Therapist ensures the Probationer adheres to the Physical Therapy Practice Act, as well as the probationary conditions and practices in the same facility as the Probationer. The supervisor has full and random access to Probationer's patient records and evaluates all aspects of Probationer's practice. The Supervising Physical Therapist ~~is~~must be approved by the Board's ~~and reports to the Board's~~ ~~p~~Probation ~~m~~Monitor, or designee. The role of the Supervising Physical Therapist may vary depending on the level of supervision set forth in the Decision and Order.

Surrender of License - ~~The licensee turns in~~surrenders their license to the Board, subject to acceptance of the surrendered license by the Board, and the licensee is prohibited from engaging in the practice, or assisting in the provision, of physical therapy, subject to acceptance by the Board. The right to practice is ended.

Suspension - ~~The licensee is prohibited from practicing~~engaging in the practice, or assisting in the provision, of physical therapy for a specific period of time.

Uniform Standards Regarding Substance-Abusing Healing Arts Licensees (Uniform Standards) - Addresses penalties established for licensees dealing with substance-abuse violations, per Senate Bill 1441 (Ridley-Thomas, Ch. 548, Stats. 2008), developed by the Substance Abuse Coordination Committee, within the Department of Consumer Affairs.

Worksite Monitor (Probation Condition Term Z) - The Worksite Monitor is a person, usually a physical therapist, ~~who~~observes for signs of substance abuse through face to face contact, interviews and attendance monitoring. The Worksite Monitor is~~must be~~approved by the Board's Probation Monitor, or designee, rehabilitation program and reports to the Board's rehabilitation program on the status of the Probationer.

ALPHABETICAL VIOLATION INDEX

	Page
ADMINISTRATION OF MEDICATIONS, 16 CCR 1399.77	49
ADVERSE ACTION TAKEN BY ANOTHER STATE OR AGENCY OF THE FEDERAL GOVERNMENT B&PBPC sec. 2660(o)	40
ADVERTISING 16 CCR 1398.10	45
ADVERTISING IN VIOLATION OF SECTION B&P BPC secs. 2660(b) ₁ & 17500	36
ADVERTISING VIOLATIONS- DISSEMINATION OF FALSE OR MISLEADING INFORMATION CONCERNING PROFESSIONAL SERVICES OR PRODUCTS B&P BPC sec. 651	21
AIDING AND ABETTING UNLICENSED PRACTICE B&P 2264 & 2660(h)	27
AIDING OR ABETTING UNLAWFUL PRACTICE B&P BPC sec. 2660(i)	38
ALTERATION OF MEDICAL RECORDS B&P 2262	27
APPLICATIONS OF FOREIGN GRADUATES 16 CCR 1398.26	46
AUTHORIZATION AND PROTOCOLS REQUIRED FOR TOPICAL MEDICATIONS 16 CCR 1399.78	49
AUTHORIZATION TO INSPECT PATIENT RECORDS B&P BPC sec. 2608.5	29
AUTHORIZED PATHWAYS FOR OBTAINING CONTINUING COMPETENCY HOURS 16 CCR 1399.94	50
AUTHORIZED AUTHORIZED TOPICAL MEDICATIONS 16 CCR 1399.79	49
AUTHORIZED USE OF TITLE BY "PHYSICAL THERAPIST STUDENT" OR "PHYSICAL THERAPIST ASSISTANT STUDENT" B&P BPC sec. 2633.7	33
AUTHORIZED USE OF TITLE - "P.T." AND "PHYSICAL THERAPIST", PERMITTED TITLES & AND OTHER DESIGNATIONS; DOCTORAL DEGREE B&PBPC sec. 2633	33
AUTHORIZED USE OF TITLE "PHYSICAL THERAPIST ASSISTANT" & PROHIBITIONS OF USING AFFIXES B&P BPC sec. 2633.5	33
<u>BENEFICIAL INTEREST REFERRALS - REQUIRED DISCLOSURE STATEMENT BPC sec. 654.2</u>	
CERTIFICATION REQUIRED - ELECTROMYOGRAPHY 16 CCR 1399.61	49
CERTIFICATION TO PENETRATE TISSUE FOR THE PURPOSE OF NEUROMUSCULAR EVALUATION B&P BPC sec. 2620.5	29
CHANGE OF ADDRESS REPORTING REQUIREMENT B&P BPC sec. 136	18
CHARGING FEES FOR SERVICES NOT PROVIDED B&P BPC sec. 2660(r)	41
CLINICAL SERVICE REQUIREMENTS FOR FOREIGN EDUCATED APPLICANTS B&P 16 CCR 1398.26.5	46
COMPLIANCE WITH CHILD SUPPORT ORDERS AND JUDGMENTS BPC sec. 490.5	19
CONSIDERATION FOR REFERRALS PROHIBITED B&P BPC sec. 650	21
CONTINUING COMPETENCY, AUTHORIZED PATHWAYS FOR OBTAINING HOURS 16 CCR 1399.94	50
CONTINUING COMPETENCY, CONTENT STANDARDS CCR 1399.92	49
CONTINUING COMPETENCY, EXEMPTION FROM CONTINUING COMPETENCY REQUIREMENTS 16 CCR 1399.99	50
CONTINUING COMPETENCY, INACTIVE STATUS 16 CCR 1399.98	50
CONTINUING COMPETENCY, RECORD KEEPING 16 CCR 1399.97	50
CONTINUING COMPETENCY, REQUIRED 16 CCR 1399.91	49
CONTINUING COMPETENCY, SUBJECT MATTER REQUIREMENTS & AND OTHER LIMITATIONS 16 CCR 1399.93	49
CONTINUING COMPETENCY, STANDARDS FOR APPROVED AGENCIES 16 CCR 1399.95	50
CONTINUING COMPETENCY, STANDARDS FOR APPROVED PROVIDERS 16 CCR 1399.96	50
CONVICTION OF CRIME, SUBSTANTIAL RELATIONSHIP REQUIRED B&PBPC sec. 490	19

CONVICTION OF A CRIME B&P BPC sec. 2660(e)	37
CONVICTION OF CRIME B&P BPC sec. 2661	44
CONVICTION RELATED TO DRUGS B&P BPC sec. 2237	25
CORPORATE ACTIVITIES 16 CCR 1399.39	
CRIMINAL CONVICTION B&P 2236	25
DENIAL OF LICENSE IN SPECIFIED CIRCUMSTANCES – REGISTERED SEX OFFENDER (APPLICANT) B&P BPC sec. 2660.5	44
DEMONSTRATION OF CONTINUING COMPETENCY REQUIREMENTS B&P BPC sec. 2649	35
DISCIPLINARY ACTION BY A FOREIGN JURISDICTION B&P BPC sec. 141	18
DISCLOSURE OF NAME AND LICENSE STATUS B&P BPC sec. 680 & 16 CCR 1398.11	45
EMPLOYMENT OF RUNNERS, CAPPERS AND STEERERS B&P 2273, B&P BPC sec. 2660(t)	27
ENGAGING IN VIOLATION OF BPC SECTIONS 650, 651, OR 654.2 B&P BPC sec. 2660(q)	41
EQUIVALENT TRAINING REQUIREMENTS – PHYSICAL THERAPIST ASSISTANT B&P BPC sec. 2639.1	34
EXCESSIVE PRESCRIBING OR TREATMENT B&P BPC sec. 725	22
EXPIRATION AND RENEWAL OF LICENSES, DISCLOSURE OF MISDEMEANOR OR CRIMINAL OFFENSE &AND CONTINUING COMPETENCY REQUIREMENTS B&P BPC sec. 2644	34
FAILURE TO MAINTAIN ADEQUATE &AND ACCURATE PATIENT RECORDS B&P BPC sec. 2660(g)	37
FAILING TO MAINTAIN PATIENT CONFIDENTIALITY B&P BPC sec. 2660(v)	43
FAILURE OR REFUSAL TO PROVIDE MEDICAL RECORDS B&P BPC sec. 2660.4	44
FAILURE TO PROVIDE CERTIFIED DOCUMENTS 16 CCR 1399.24(b)	48
FAILURE TO COMPLY WITH SUBPOENA ORDER 16 CCR 1399.24(e)	49
FAILURE TO COMPLY WITH THE PROVISIONS OF BPC SECTION 2620.1 B&P BPC 2660(x)	43
FAILURE TO COOPERATE IN BOARD INVESTIGATION 16 CCR 1399.24(c)	48
FAILURE TO REPORT TO BOARD CRIMINAL OR DISCIPLINARY INFORMATION 16 CCR 1399.24(d)	48
FALSE OR MISLEADING ADVERTISING B&P 2271	27
FALSE STATEMENTS IN APPLICATION DOCUMENTS OR WRITINGS B&P BPC sec. 583	20
FALSE STATEMENT OF MATERIAL FACT FOR APPLICATION B&P BPC sec. 499	20
FILING OF ADDRESSES 16 CCR 1398.6	45
FRAUDULENT, DISHONEST OR CORRUPT ACT SUBSTANTIALLY RELATED B&P BPC sec. 2660(j)	38
GROSS NEGLIGENCE B&P 2234(b)	24
GROSS NEGLIGENCE OR REPEATED ACTS OF NEGLIGENCE B&P BPC sec. 2660(h)	38
GROUNDS FOR DENIAL – CONVICTION OF A CRIME BPC sec. 480(a)(1)	XX
GROUNDS FOR DENIAL – DISHONESTY, FRAUD, OR DECEIT BPC sec. 480(a)(2)	XX
GROUNDS FOR DENIAL – DONE ANY ACT IF DONE BY A LICENTIATE BPC sec. 480(a)(3)	XX
GROUNDS FOR DENIAL – FALSE STATEMENT OF FACT FOR APPLICATION BPC sec. 480(d)	XX
HABITUAL INTEMPERANCE B&P BPC sec. 2660(w)	43
IDENTIFICATION AND SUPERVISION OF PHYSICAL THERAPIST ASSISTANT STUDENTS AND INTERNS DEFINED, 16 CCR 1398.52	46
IDENTIFICATION AND SUPERVISION OF PHYSICAL THERAPIST STUDENTS AND INTERNS DEFINED, 16 CCR 1398.37	46
IDENTIFICATION OF PHYSICAL THERAPY AIDES, APPLICANTS, STUDENTS, &AND INTERN 16 CCR 1398.11	45
IMPERSONATION OF APPLICANT IN EXAM B&P 2288	28
IMPERSONATION PRACTICE OF MEDICINE B&P BPC sec. 2289	28
INAPPROPRIATE VIEWING OF DISROBED PATIENT IF UNNECESSARY TO PATIENT EVALUATION OR TREATMENT B&P BPC sec. 2660(p)	40

INCOMPETENCE B&P <u>BPC sec. 2234(d)</u>	24
INFECTION CONTROL GUIDELINES B&P <u>BPC sec. 2660(k)</u>	39
INSURANCE FRAUD-UNPROFESSIONAL CONDUCT B&P <u>BPC sec. 810</u>	23
<u>ISSUANCE OF PROBATIONARY LICENSE TO APPLICANTS BPC sec. 2660.2</u>	<u>XX</u>
MAKING FALSE DOCUMENTS B&P 2261	27
MISREPRESENTATION DOCUMENTATION AND/OR FALSIFYING OF PATIENT RECORDS B&P <u>BPC sec. 2660(s)</u>	41
NEUROMUSCULAR EVALUATION B&P <u>BPC sec. 2620.5, CCR 1399.61</u>	29
NOTICE TO CONSUMERS (FORM NTC 12-01) 16 CCR 1398.15	46
NUTRITIONAL ADVICE B&P <u>BPC sec. 2068</u>	24
OBTAINING LICENSURE BY FRAUD B&P <u>BPC sec. 498</u>	20
OUT OF STATE PRACTICE AUTHOR. TO PARTICIPATE IN SPONSORED EVENT CCR 1399.99.3	51
PATIENT RECORD DOCUMENTATION REQUIREMENT B&P <u>BPC sec. 2620.7</u>	30
PATIENT RECORD DOCUMENTATION REQUIREMENT 16 CCR 1398.13	46
PATIENT'S ACCESS TO HEALTH CARE RECORDS HSC sec. 123110	51
PERMITTING PHYSICAL THERAPIST ASSISTANT AND/OR AIDE TO PERFORM, HOLD HIMSELF/HERSELF OUT AS COMPETENT TO PERFORM BEYOND LEVEL OF EDUCATION, TRAINING AND EXPERIENCE OF P.T.A. AND/OR AIDE B&P <u>BPC sec. 2660(n)</u>	40
PHYSICAL THERAPIST ASSISTANT LICENSE <u>&AND</u> SUPERVISION REQUIREMENTS <u>B&P BPC sec. 2630.3</u>	31
PHYSICAL THERAPIST <u>&AND</u> PHYSICAL THERAPIST ASSISTANT GRADUATE- LICENSE APPLICANT STATUS REQUIREMENTS B&P <u>BPC sec. 2639</u>	34
PHYSICAL THERAPIST PATIENT CARE RESPONSIBILITY <u>&AND</u> USE OF ASSISTIVE PERSONNEL TITLES B&P <u>BPC sec. 2622</u>	30
PHYSICAL THERAPY AIDE SUPERVISION REQUIREMENTS B&P <u>BPC sec. 2630.4</u>	32
PHYSICAL THERAPY CORPORATION B&P <u>BPC sec. 2691</u>	45
PRACTICING OR OFFERING TO PRACTICE BEYOND SCOPE OF PRACTICE OF PHYSICAL THERAPY B&P <u>BPC sec. 2660(d)</u>	36
PRESUMPTION REGARDING INCAPABILITY TO CONSENT TO SEXUAL ACTIVITY B&P <u>BPC sec. 2660.1</u>	43
PROCURING A LICENSE BY FRAUD OR MISREPRESENTATION B&P <u>BPC sec. 2660(c)</u>	36
PROCURING LICENSE BY FRAUD 2235, 2660(e)	25
PROHIBITING ANOTHER PARTY FROM COOPERATING OR DISPUTING A COMPLAINT 16 CCR 1399.24 (a)	48
PURCHASE OR FRAUDULENT ALTERATION OF DIPLOMA OR OTHER WRITINGS B&P <u>BPC sec. 581</u>	20
REPEATED NEGLIGENT ACTS B&P 2234(e)	24
REPORTS OF MAPLPRACTICE SETTLEMENTS OR ARBITRATION AWARDS INVOLVING UNINSURED LICENSEES; PENALTIES FOR NON-COMPLIANCE B&P <u>BPC sec. 802</u>	23
REQUIRED ACTIONS AGAINST REGISTERED SEX OFFENDERS 16 CCR 1399.23	48
REQUIREMENTS OF GRADUATES FROM FOREIGN SCHOOLS B&P <u>BPC sec. 2653</u>	35
REQUIREMENTS FOR OBTAINING LICENSURE WITHOUT A WRITTEN EXAMINATION AND APPLICANT STATUS FOR A PHYSICAL THERAPIST OR PHYSICAL THERAPIST ASSISTANT B&P <u>BPC sec. 2636.5</u>	33
REQUIREMENTS FOR LICENSE EXEMPTION- B&P <u>BPC sec. 901</u>	24
<u>REQUIREMENTS FOR PROFESSIONAL CORPORATIONS 16 CCR 1399.35</u>	<u>XX</u>
RETIRED STATUS B&P <u>BPC sec. 2648.7</u>	34
SALE OR BARTER OF DEGREE, CERTIFICATE OR TRANSCRIPT B&P <u>BPC sec. 580</u>	20

SELF ABUSE OF DRUGS OR ALCOHOL B&P BPC sec. 2239	26
SEX OFFENSE – DISCIPLINARY GUIDELINES – 16 CCR 1399.15(b)	XX
SEXUAL ABUSE OR MISCONDUCT WITH PATIENT OR CLIENT B&P BPC sec. 726	23
SEXUAL MISCONDUCT OR VIOLATING B&P BPC 726, and B&P BPC sec. 2660(m)	40
SHARES: OWNERSHIP AND TRANSFER BPC sec. 1399.37	XX
SPONSORING ENTITY REGISTRATION & AND RECORD KEEPING REQUIREMENTS	
CCR 1399.99.2	
STANDARDS FOR APPROVED AGENCIES 16 CCR 1399.95	50
STANDARDS FOR APPROVED PROVIDERS 16 CCR 1399.96	50
SUBVERSION EXAMINATION B&P BPC sec. 2660.7	44
SUBVERTING OR ATTEMPTING TO SUBVERT A LICENSING EXAM B&P BPC sec. 123	18
SUPERVISION OF PHYSICAL THERAPIST ASSISTANT LICENSE APPLICANTS, 16 CCR 1399.12	47
SUPERVISION OF PHYSICAL THERAPIST ASSISTANTS 16 CCR 1398.44	46
SUPERVISION OF PHYSICAL THERAPIST LICENSE APPLICANTS, 16 CCR 1399.10	47
SUPERVISION OF PHYSICAL THERAPY AIDES, B&P BPC sec. 2630	31
SUPERVISION OF PHYSICAL THERAPY AIDES, 16 CCR 1399	47
TERMINATION OF AUTHORIZATION & AND APPEAL CCR 1399.99.4	51
TOPICAL MEDICATIONS B&P BPC sec. 2620.3	29
OUT OF STATE PRACTITIONER AUTHORIZATION TO PARTICIPATE IN SPONSORED EVENT 16 CCR 1399.99.3	51
UNAUTHORIZED USE OF MEDICAL DESIGNATION B&P BPC sec. 2274	27
UNLAWFUL POSSESSION OR USE OF, CONTROLLED SUBSTANCE & AND CONVICTION OF A CRMINAL OFFENSE INVOLVING CONTROLLED SUBSTANCE B&P BPC sec. 2660(f)	37
UNLAWFUL PROCUREMENT OR ALTERATION (OF A LICENSE), B&P BPC sec. 581	20
UNLICENSED PRACTICE - IMPROPER SUPERVISION OF A PHYSICAL THERAPY AIDE 16 CCR 1399	47
UNLICENSED PRACTICE – AS A PHYSICAL THERAPIST B&P BPC sec. 2630	31
UNPROFESSIONAL CONDUCT- CORPORATION B&P BPC sec. 2692	45
USE OF ILLEGALLY OBTAINED ALTERED OR COUNTERFIET DIPLOMA, CERTIFICATE, OR TRANSCRIPT B&P BPC sec. 582	20
VERBAL ABUSE OR SEXUAL HARASSMENT B&P BPC sec. 2660(l)	39
VIOLATION OF DRUG STATUTES B&P BPC sec. 2238	26
VIOLATION OF EXAMINATION SECURITY; IMPERSONATION B&P BPC sec. 584	21
VIOLATION OF PROBATION	45
VIOLATION OF PROFESSIONAL CONFIDENCE B&P 2263	27
VIOLATION OF PROFESSIONAL CORPORATION ACT B&P 2286, BPC sec. 2691	28
VIOLATION OF THE PHYSICAL THERAPY OR MEDICAL PRACTICE ACTS B&P BPC sec. 2660(a)	35
WILLFUL, UNAUTHORIZED VIOLATION OF PROFESSIONAL CONFIDENCE B&P BPC sec. 2660(u)	42

VIOLATION CODE INDEX

Business and Professions Code	Page	
123	SUBVERTING OR ATTEMPTING TO SUBVERT A LICENSING EXAM	18
136	CHANGE OF ADDRESS REPORTING REQUIREMENT	18
141	DISCIPLINARY ACTION BY A FOREIGN JURISDICTION	18
480(a)(1)	GROUND S FOR DENIAL – CONVICTION OF A CRIME	
480(a)(2)	GROUND S FOR DENIAL – DISHONESTY, FRAUD, OR DECEIT	
480(a)(3)	GROUND S FOR DENIAL – DONE ANY ACT IF DONE BY A LICENTIATE	
480(d)	GROUND S FOR DENIAL – FALSE STATEMENT OF FACT FOR APPLICATION	
490	CONVICTION OF A CRIME - SUBSTANTIAL RELATIONSHIP REQUIRED	19
490.5	COMPLIANCE WITH CHILD SUPPORT ORDERS AND JUDGMENTS	19
498	OBTAINING LICENSURE BY FRAUD	20
499	FALSE STATEMENT OF MATERIAL FACT FOR APPLICATION	20
580	SALE OR BARTER OF DEGREE, CERTIFICATE OR TRANSCRIPT	20
581	UNLAWFUL PROCUREMENT OR ALTERATION OF DIPLOMA OR OTHER WRITINGS	20
582	USE OF FRAUDULENT RECORDS	20
583	FALSE STATEMENTS IN APPLICATION DOCUMENTS OR WRITINGS	20
584	VIOLATION OF EXAM SECURITY; IMPERSONATION	21
650	CONSIDERATION FOR REFERRALS PROHIBITED	21
654.2	BENEFICIAL INTEREST REFERRALS – REQUIRED DISCLOSURE STATEMENT	
651	ADVERTISING VIOLATIONS-DISSEMINATION OF FALSE OR MISLEADING INFORMATION	21
680	DISCLOSURE OF NAME AND LICENSE STATUS	22
725	EXCESSIVE PRESCRIBING OR TREATMENTS	22
726	SEXUAL ABUSE OR MISCONDUCT	23
802	REPORTS OF MAPLPRACTICE SETTLEMENTS OR ARBITRATION AWARDS INVOLVING UNINSURED LICENSEES; PENALTIES FOR NON COMPLIANCE	23
810	INSURANCE FRAUD	23
901	REQUIREMENTS FOR LICENSE EXEMPTION	24
2068	NUTRITIONAL ADVICE	24
2234(b)	GROSS NEGLIGENCE	24
2234(c)	REPEATED NEGLIGENT ACTS	24
2234(d)	INCOMPETENCE B&P	24
2235	PROCURING LICENSE BY FRAUD	25
2236	CRIMINAL CONVICTION	25
2237	CONVICTION RELATED TO DRUGS	25
2238	VIOLATION OF DRUG STATUTES	26
2239	SELF ABUSE OF DRUGS OR ALCOHOL	26
2261	MAKING FALSE DOCUMENTS	27
2262	ALTERATION OF MEDICAL RECORDS	27
2263	VIOLATION OF PROFESSIONAL CONFIDENCE	27
2264	AIDING AND ABETTING UNLICENSED PRACTICE	27
2271	FALSE OR MISLEADING ADVERTISING	27
2273	EMPLOYMENT OF RUNNERS, CAPPERS AND STEERERS	27
2274	UNAUTHORIZED USE OF MEDICAL DESIGNATION	27
2286	VIOLATION OF PROFESSIONAL CORPORATION ACT	28
2288	IMPERSONATION OF APPLICANT IN EXAM	28
2289	IMPERSONATION PRACTICE OF MEDICINE	28
2608.5	AUTHORIZATION TO INSPECT PATIENT RECORDS	29
2620.3	TOPICAL MEDICATIONS	29
2620.5	CERTIFICATION TO PENETRATE TISSUE FOR THE PURPOSE OF NEUROMUSCULAR EVALUATION	29

2620.7	PATIENT RECORD DOCUMENTATION REQUIREMENT	30
2622	PHYSICAL THERAPIST PATIENT CARE RESPONSIBILITY & USE OF ASSISTIVE PERSONNEL	30
2630	UNLICENSED PRACTICE - AS A PHYSICAL THERAPIST	31
2630.3	PHYSICAL THERAPIST ASSISTANT LICENSE <u>&AND</u> SUPERVISION REQUIREMENTS	31
2630.4	PHYSICAL THERAPY AIDE SUPERVISION REQUIREMENTS	32
2630.5	PERSONS EXEMPT FROM LICENSURE REQUIREMENTS	
2633	AUTHORIZED USE OF TITLE "P.T." AND "PHYSICAL THERAPIST", PERMITTED TITLES <u>&AND</u> OTHER DESIGNATIONS; DOCTORAL DEGREE	32
2633.5	AUTHORIZED USE OF TITLE "PHYSICAL THERAPIST ASSISTANT" <u>&AND</u> PROHIBITIONS OF USING AFFIXES	33
2633.7	AUTHORIZED USE OF TITLE BY "PHYSICAL THERAPIST STUDENT" OR "PHYSICAL THERAPIST ASSISTANT STUDENT"	33
2636.5	REQUIREMENTS FOR OBTAINING LICENSURE WITHOUT A WRITTEN EXAMINATION AND APPLICANT STATUS FOR A PHYSICAL THERAPIST OR PHYSICAL THERAPIST ASSISTANT	33
2639	PHYSICAL THERAPIST <u>&AND</u> PHYSICAL THERAPIST ASSISTANT GRADUATE-LICENSE APPLICANT STATUS REQUIREMENTS	
2639.1	EQUIVALENT TRAINING REQUIREMENTS – PHYSICAL THERAPIST ASSISTANT	34
2644	EXPIRATION AND RENEWAL OF LICENSE, DISCLOSURE OF MISDEMEANOR OR CRIMINAL OFFENSE <u>&AND</u> CONTINUING COMPETENCY REQUIREMENTS	34
2648.7	RETIRED STATUS	34
2649	DEMONSTRATION OF CONTINUING COMPETENCY REQUIREMENT	35
2653	REQUIREMENTS OF GRADUATES FROM FOREIGN SCHOOLS	35
2660(a)	VIOLATION OF THE PHYSICAL THERAPY OR MEDICAL PRACTICE ACTS	35
2660(b)	ADVERTISING IN VIOLATION OF B&P BPC sec. SECTION 17500	36
2660(c)	PROCURING A LICENSE BY FRAUD OR MISREPRESENTATION	36
2660(d)	PRACTICING OR OFFERING TO PRACTICE BEYOND SCOPE OF PRACTICE OF PHYSICAL THERAPY	36
2660(e)	CONVICTION OF A CRIME	37
2660(f)	POSSESSION OR USE OF, CONTROLLED SUBSTANCE & CONVICTION OF CRIMINAL OFFENSE INVOLVING CONTROLLED SUBSTANCE	37
2660(g)	FAILURE TO MAINTAIN ADEQUATE <u>&AND</u> ACCURATE PATIENT RECORDS	37
2660(h)	GROSS NEGLIGENCE OR REPEATED ACTS OF NEGLIGENCE	38
2660(i)	AIDING OR ABETTING UNLAWFUL PRACTICE	38
2660(j)	FRAUDULENT, DISHONEST OR CORRUPT ACT SUBSTANTIALLY RELATED	38
2660(k)	INFECTION CONTROL GUIDELINES	39
2660(l)	VERBAL ABUSE OR SEXUAL HARRASSMENT	39
2660(m)	SEXUAL MISCONDUCT OR VIOLATING B&P BPC sec. 726	40
2660(n)	PERMITTING PHYSICAL THERAPIST ASSISTANT AND/OR AIDE TO PERFORM, HOLD HIMSELF/HERSELF OUT AS COMPETENT TO PERFORM BEYOND LEVEL OF EDUCATION, TRAINING AND EXPERIENCE OF P.T.A. AND/OR AIDE	40
2660(o)	ADVERSE ACTION TAKEN BY ANOTHER STATE OR AGENCY OF THE FEDERAL GOVERNMENT	40
2660(p)	INAPPROPRIATE VIEWING OF DISROBED PATIENT IF UNNECESSARY TO PATIENT EVALUATION OR TREATMENT	40
2660(q)	ENGAGING IN VIOLATION OF BPC sections SECTION 650, 651, OR 654.2	41
2660(r)	CHARGING FEES FOR SERVICES NOT PROVIDED	41
2660(s)	MISREPRESENTATION DOCUMENTATION AND/OR FALSIFYING OF PATIENT RECORDS	41
2660(t)	EMPLOYMENT OF RUNNERS, CAPPERS, STEERERS, OR OTHER PERSONS	42

2660(u)	WILLFUL, UNAUTHORIZED VIOLATION OF PROFESSIONAL CONFIDENCE	42
2660(v)	FAILING TO MAINTAIN PATIENT CONFIDENTIALITY	43
2660(w)	HABITUAL INTERMPERANCE	43
2660(x)	FAILURE TO COMPLY WITH THE PROVISIONS OF <u>BPC sec. 2620.1</u>	43
	SECTION	
2660.1	PRESUMPTION REGARDING INCAPABILITY TO CONSENT TO SEXUAL ACTIVITY	43
2660.2	ISSUANCE OF PROBATIONARY LICENSE TO APPLICANTS	XX
2660.4	FAILURE OR REFUSAL TO PROVIDE MEDICAL RECORDS	44
2660.5	DENIAL OF LICENSE IN SPECIFIED CIRCUMSTANCES- REGISTERED SEX OFFENDER (APPLICANT)	44
2660.7	SUBVERSION OF EXAMINATION	44
2661	CONVICTION OF CRIME	44
2691	PHYSICAL THERAPY CORPORATION	45
2692	UNPROFESSIONAL CONDUCT- CORPORATION	45
17500	VIOLATION OF ADVERTISING	XX

California Code of Regulations (All citations are under Title 16)

1398.6	FILING OF ADDRESSES	45
1398.10	ADVERTISING	45
1398.11	PHYSICAL THERAPY AIDE, APPLICANT, STUDENT, AND INTERN	45
1398.13	PATIENT RECORD DOCUMENTATION REQUIREMENTS	46
1398.15	NOTICE TO CONSUMERS (FORM NTC 12-01)	46
1398.26	APPLICATION OF FOREIGN GRADUATES	46
1398.26.5	CLINICAL SERVICE REQUIREMENTS FOR FOREIGN EDUCATED APPLICANTS	46
1398.37	IDENTIFICATION AND SUPERVISION OF PHYSICAL THERAPIST STUDENTS AND INTERNS DEFINED	46
1398.44	SUPERVISION OF PHYSICAL THERAPIST ASSISTANTS	46
1398.52	IDENTIFICATION AND SUPERVISION OF PHYSICAL THERAPIST ASSISTANT STUDENTS AND INTERNS DEFINED	46
1399	SUPERVISION OF PHYSICAL THERAPY AIDES	47
1399.10	SUPERVISION OF PHYSICAL THERAPISTS LICENSE APPLICANTS	47
1399.12	SUPERVISION OF PHYSICAL THERAPIST ASSISTANT LICENSE APPLICANTS	47
1399.15(b)	DISCIPLINARY GUIDELINES – SEX OFFENSE	XX
1399.23	REQUIRED ACTIONS AGAINST REGISTERED SEX OFFENDERS	48
1399.24(a)	PROHIBITING ANOTHER PARTY FROM COOPERATING WITH OR DISPUTING A COMPLAINT	48
1399.24(b)	FAILURE TO PROVIDE CERTIFIED DOCUMENTS	48
1399.24(c)	FAILURE TO COOPERATE IN BOARD INVESTIGATION	48
1399.24(d)	FAILURE TO REPORT TO BOARD CRIMINAL OR DISCIPLINE INFORMATON	48
1399.24(e)	FAILURE TO COMPLY WITH SUBPOENA ORDER	49
1399.35	REQUIREMENTS FOR PROFESSIONAL CORPORATIONS	XX
1399.37	SHARES: OWNERSHIP AND TRANSFER	XX
1399.39	CORPORATE ACTIVATES	XX
1399.61	CERTIFICATION REQUIRED - ELECTROMYOGRAPHY	49
1399.77	ADMINISTRATION OF MEDICATIONS	49
1399.78	AUTHORIZATION AND PROTOCOLS REQUIRED FOR TOPICAL MEDICATIONS	49
1399.79	AUTHORIZED TOPICAL MEDICATIONS	49
1399.91	CONTINUING COMPETENCY REQUIRED	49
1399.92	CONTENT STANDARDS FOR CONTINUING COMPETENCY	49
1399.93	CONTINUING COMPETENCY SUBJECT MATTER REQUIREMENTS AND OTHER LIMITATIONS	49
1399.94	AUTHORIZED PATHWAYS FOR OBTAINING CONTINUING COMPETENCY HOURS	50

1399.95	STANDARDS FOR APPROVED AGENCIES	50
1399.96	STANDARDS FOR APPROVED PROVIDERS	50
1399.97	RECORD KEEPING (CONTINUING COMPETENCY)	50
1399.98	INACTIVE STATUS (CONTINUING COMPETENCY)	50
1399.99	EXEMPTION FROM CONTINUING COMPETENCY REQUIREMENTS	50
1399.99.2	SPONSORING ENTITY REGISTRATION & AND RECORD KEEPING REQ.	50
1399.99.3	OUT OF STATE PRACTITIONER AUTHORIZATION TO PARTICIPATE IN SPONSORED EVENT	51
1399.99.4	TERMINATION OF AUTHORIZATION & AND APPEAL	51

Health and Safety Code

123110	PATIENT'S ACCESS TO HEALTH CARE RECORDS	51
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